4 July 2014 Hon Amy Adams Minister for the Environment

Dear Minister

# RE: Application under National Environmental Standards for Air Quality – Regulation 16A Exceptional Circumstances.

Between 4 June and 8 July 2014, 13 exceedances of the National Air Quality Standard for Nitrogen Dioxide  $(NO_2)$  (1-hour average) were recorded at the Auckland Council Waterfront ambient air quality monitoring site. Analysis of data from the site and the activities occurring at the time adjacent to the waterfront monitoring site shows that these exceedances were caused by exceptional circumstances which were outside of Auckland Council's control.

Auckland Council is therefore seeking a determination from the minister that these exceedances were caused by exceptional circumstances. The information in support of this application is attached. Should any further information be required, please contact Nick Reid, Scientist, Air Quality in the Research Investigations and Monitoring Unit.

Thank you for your consideration of this matter.

Yours Sincerely,

Regan Solomon

Acting Manager, Research Investigations and Monitoring Unit.



OFFICE USE ONLY Application no: Date received: Date accepted:

### Resource Management (National Environmental Standards for Air Quality) Regulations 2004 – Regulation 16A Exceptional Circumstances

### APPLICATION FORM

Before completing this form please read section 3.8 of the <u>2011 User's Guide to the revised National</u> Environmental Standards for Air Quality.

Need more help? If you have any questions email <u>air@mfe.govt.nz</u>.

#### Please send your completed application form and all attachments to air@mfe.govt.nz.

Alternatively, if attachments are too large to email, please post hard copies of the application form and all attachments, along with a CD containing all files, to:

Air Quality NES Exceptional Circumstances Ministry for the Environment PO Box 10362 Wellington 6143

1. Applicant details				
Name of regional council	Auckland Council			
Contact person	Nick Reid			
Position	Scientist – Air Quality			
Email address	nick.reid@aucklandcouncil.govt.nz			
Telephone number	09 484 8076			
Mobile number	021 626 946			
Postal address	Level 4, 1 The Strand, Takapuna			
2. Details of exceedance event				
Contaminant	NO <sub>2</sub> µg/m <sup>3</sup>			

	13 exceedances	3						
	Date	Time	NO2 µg/m³					
	04/06/2014	11:00	287.6					
	04/06/2014	14:00	231.0	231.0				
	04/06/2014	15:00	249.4	249.4				
	06/06/2014	10:00	234.3	234.3				
Date of exceedance	06/06/2014	11:00	207.7					
(must not be >3 months from date this application	10/06/2014	11:00	221.3					
is received)	10/06/2014	15:00	277.4					
	02/07/2014	15:00	283.0					
	07/07/2014	10:00	259.5					
	07/07/2014	12:00	201.3					
	07/07/2014	13:00	211.8					
	08/07/2014	09:00	206.2					
	08/07/2014	13:00	259.7					
Relevant airshed	Auckland							
	Auckland Water	front						
	Make: Teledyne Advanced Pollution Instrumentation							
Manitaring station and	Model : 200E Chemiluminesence NO/NO2/NOX Analyzer							
Monitoring station and technical specifications of	Age : 4 years							
monitor	Serial: 3491							
	Method: Chemilumisesence to AS 3580.5.1:1993							
	Site metadata are provided in Appendix A.							
Summary of monitoring reading showing exceedance event	See attached documentation (section 1)							
Analysis of baseline data	See attached do	ocumentati	on (section 2)					
Source speciation or other analysis	See attached do	ocumentati	on (sections 1	- 5)				
Explanation of any previous exceedance event/s from this monitoring station in the past 5 years	See attached documentation (section 4)							
Monitoring readings covering exceedance event								
3. Details of exceptional	ails of exceptional circumstances							
Exceptional circumstances leading to exceedance	es ✓ Localised impact on a monitor ☐ Anthropogenic extreme event ☐ Natural disaster or natural extreme event ☐ Other							

Explanation of circumstances leading to exceedance event	See attached documentation (sections 5 and 5.1)		
Reasons why these circumstances were beyond the reasonable control of the regional council	See attached documentation (sections 5 and 5.2)		
Supporting evidence (eg, meterological report)	Attached	Not attached	

B ~

\_\_\_\_\_

02/09/2014

Regan Solomon

\_\_\_\_\_

Dated

Signed



# Resource Management (National Environmental Standards for Air Quality) Regulations 2004 – Regulation 16A Exceptional Circumstances

Application for exceptional circumstances consideration:

Auckland Waterfront Nitrogen Dioxide (1-hour Average) (13 Exceedances)

### **Executive Summary**

The Auckland Council ambient air quality monitoring site Auckland Waterfront recorded 13 exceedances of the National Environmental Standard for Air Quality (NES-AQ) for Nitrogen Dioxide (NO<sub>2</sub>) (1-hour average) between 04/06/2014 and 08/07/2014. These high concentrations of NO<sub>2</sub> were not reflected in high concentrations of the other pollutants measured at the Auckland Waterfront site (Carbon Monoxide (CO), Sulphur Dioxide (SO<sub>2</sub>), PM<sub>10</sub> and PM<sub>2.5</sub>).

The Auckland Waterfront site has only recorded exceedances of standards and guidelines for  $SO_2$  in the past, so the three  $NO_2$  exceedances on 04/06/2014 were initially regarded as unusual. Furthermore, the Auckland Airshed has not recorded any  $NO_2$  exceedances since 2012, and has not been in breach of the NES ( $NO_2$ ) since 2009, so when additional exceedances were recorded the situation was regarded as extremely unusual. After the initial exceedances were confirmed as correct through standard Quality Assurance and calibration procedures, an investigation was launched to determine the cause of these exceedances.

Initial investigations yielded several useful pieces of evidence, which this application to the Minister for the Environment will use to demonstrate what was responsible for the exceedances recorded at the Auckland Waterfront site, and accordingly that these exceedances should be considered for exemption from consideration as exceedances under Regulation 16A of the Resource Management (National Environmental Standards for Air Quality) Regulations 2004.

During the period in which the exceedances were recorded, emergency repair work was being carried out on the seawall underneath the wharf, and the structure of the wharf itself by the Port operator, Ports of Auckland Limited (POAL). There is also concern that the aging seawall may collapse in an earthquake, and hence an emergency repair program is underway, at a cost of \$700,000. The overall cost of the repair program is expected to be \$40 million. As part of these projects, a large diesel generator was running intermittently approximately 50 meters to the North East of the monitoring site, providing power and compressed air for concreting and associated works. This application demonstrates that the exceedance values were caused by exhaust emissions from the generator operating nearby. The generator is a temporary point source, and the emissions from the generator are additional to the 'baseline' concentrations recorded at the monitoring site, and this addition has elevated concentrations to the point of exceeding the NES-AQ.

These exceedances are worthy of exemption under Regulation 16A, for four reasons.

- 1. The emissions from the diesel generator represent a strong localised impact on the monitoring site, and are not representative of the wider emissions profile generally monitored by the Auckland Waterfront Site.
- 2. There was nothing that Auckland Council could have done to prevent the exceedances. The work being carried out on the seawall was unforeseen and critical to ensure public safety. Investigations revealed that there was no other suitable location for the Diesel generator to operate in a safe manner. The monitor was located on the only suitable site available.
- 3. The Auckland Airshed has been performing well (for NO<sub>2</sub> exceedances) in recent years, with no unpermitted exceedances in many years. If these unusual results were to be included in the overall exceedance total, then the Auckland Airshed would be in breach of the National Environmental Standard based on the exceedances from an unusual point source over which the Auckland Council has no control. NO<sub>2</sub> exceedances are not typical of the Auckland Airshed.
- 4. The 5 requirements outlined by the MfE good practice guide (MfE, 2014) are all met by these exceedances.

This application uses monitoring data and investigation results to demonstrate that the 13 exceedances were caused by the operation of a diesel generator adjacent to the monitoring site, and accordingly these exceedances should be considered for exceptional circumstances exemption.

# Contents

Executiv	e Summary6					
List of F	igures					
List of T	ables					
1. Intr	oduction9					
2. Su	mmary of monitoring readings showing exceedance event10					
3. An	alysis of baseline data					
4. Pre	evious Exceedances at Auckland Waterfront14					
5. Exe	ceptional circumstances					
5.1	Cause of exceptional circumstances15					
5.2	Justification of exceptional events					
5.2.1	Causation					
5.2.2	Control					
5.2.3	Foreseeability					
5.2.4	Frequency and likelihood of reoccurrence21					
5.2.5	Purpose of the RMA21					
6. Co	nclusion					
7. Re	7. References					
Append	Appendix A. Site metadata (overleaf)					
Append	Appendix B. New Zealand Herald article, 19/08/2014 27					
Append	ppendix C. Letter from POAL, 02/09/2014					

# List of Figures

Figure 1 Location of the Auckland Waterfront monitoring site	)
Figure 2 NO <sub>2</sub> data (1-hour average) at Auckland Waterfront (00:00 01/06/2014 - 00:00 $10/07/2014$ )10	)
Figure 3 All pollutants monitored at Auckland Waterfront (00:00 01/06/2014 - 00:00 06/07/2014) (1-hour average for all pollutants)11	ł
Figure 4 NO <sub>2</sub> data (1-hour average) at Auckland Waterfront (00:00 01/01/2013 - 00:00 $10/07/2014$ )	2
Figure 5 NO <sub>2</sub> data (1-hour average) at Auckland Waterfront and Queen St (00:00 01/01/2013 $\cdot$ 00:00 10/07/2014)	
Figure 6 Location of the Auckland Waterfront site and the diesel generator16	3
Figure 7 Pollution roses (1-hour averages) (NO <sub>2</sub> ) for Auckland Waterfront 01/01/2013 - 01/06/2014 (left) and 01/06/2014 - 10/07/2014 (right)16	3
Figure 8 Auckland Waterfront NO <sub>2</sub> data, classified by wind direction, 01/06/2014 - 10/07/2014	
Figure 9 Scatter plot with hexagonal binning for Auckland Waterfront and Queen St NO <sub>2</sub> data (1-hour average) 01/01/2013 - 03/06/2014 (left) and 01/01/2013 - 10/07/2014 (right). The dotted lines are the NES-AQ for each axis	3
Figure 10 Photographs of the diesel generator located near the monitoring site	)

# List of Tables

Table 1 Historical NO <sub>2</sub> Exceedances in the Auckland Airshed (2005-2014)	.9
Table 2 NO <sub>2</sub> Exceedance values at Auckland Waterfront between 04/06/2014 and 02/07/2014	
Table 3 Average 1-hour and maximum NO2 concentrations at Auckland Waterfront 2011 to   2014	12
Table 4 Summary of historical exceedances at Auckland Waterfront	14

# 1.Introduction

Auckland Council has carried out ambient air quality monitoring at the Auckland Waterfront since February 2011. The site is located on the wharfs operated by Ports of Auckland Limited. The current site location is shown in figure 1. Site metadata are provided in Appendix A.

The site is classified as urban, and the dominant pollutant sources are traffic and port activities such as shipping emissions, and operation of vehicles. The site monitors  $PM_{10}$ ,  $PM_{2.5}$ , oxides of nitrogen (NO<sub>x</sub>), carbon monoxide (CO), sulphur dioxide (SO<sub>2</sub>) and meteorologic parameters. The site is operated in accordance with the MfE Good Practise Guide (MfE, 2014) and complies with AS/NZS 3580.1.1:2007 (site location). All instrumentation is operated in accordance with relevant AS/NZS standards.

Auckland Waterfront experienced 13 exceedances of the National Environmental Standards for Air Quality (MfE, 2014) (NES-AQ) for NO<sub>2</sub> (1-hour average) between 04/06/2014 and 08/07/2014. The Auckland Airshed has not recorded exceedances of the NES-AQ for NO<sub>2</sub> since 2012, and has not been in breach of the NES-AQ for NO<sub>2</sub> since 2009 (table 1). The unusual nature of these results led to an investigation, which demonstrated that these exceedances were due to an exceptional circumstance. This application uses monitoring data and investigation results to demonstrate that the 13 exceedances were caused by a temporary point source (operation of a diesel generator adjacent to the monitoring site). The circumstances that required the use of the diesel generator (i.e. emergency Wharf repairs) were out of the control of Auckland Council, and accordingly these exceedances should be considered for exceptional circumstances exemption.

Year	NO <sub>2</sub> Exceedances
2007	61 (Queen St)
2008	9 (Queen St 8)
2009	17 (Queen St)
2010	None
2011	None
2012	2 (Queen St)
2013	None
2014	None (excluding those described by this application)

Table 1 Historical NO<sub>2</sub> Exceedances in the Auckland Airshed (2005-2014)



Figure 1 Location of the Auckland Waterfront monitoring site.

# 2. Summary of monitoring readings showing exceedance event

The Auckland Council ambient air quality monitoring site Auckland Waterfront experienced 13 exceedances of the National Environmental Standards for Air Quality (NES-AQ) for NO<sub>2</sub> (1-hour average) between 04/06/2014 and 08/07/2014. These exceedances are summarised in table 2 and figure 2 below.

Date	Time	NO2 1-hr average (µg/m³)			
04/06/2014	11:00	287.6			
04/06/2014	14:00	231.0			
04/06/2014	15:00	249.4			
06/06/2014	10:00	234.3			
06/06/2014	11:00	207.7			
10/06/2014	11:00	221.3			
10/06/2014	15:00	277.4			
02/07/2014	15:00	283.0			
07/07/2014	10:00	259.5			
07/07/2014	12:00	201.3			
07/07/2014	13:00	211.8			
08/07/2014	09:00	206.2			
08/07/2014	13:00	259.7			





Figure 2 NO<sub>2</sub> data (1-hour average) at Auckland Waterfront (00:00 01/06/2014 - 00:00 10/07/2014)

The monitoring data accordingly shows sharp peaks in NO<sub>2</sub> concentrations, occurring late morning and late afternoon. The exceedance values are roughly twice the highest non-exceeding concentrations for the period of Figure 2. The concentrations between the morning and afternoon peaks are also elevated. The average NO<sub>2</sub> concentration between 01/06/2014 and 08/07/2014 was 37.07  $\mu$ g m<sup>-3</sup>. The NO<sub>2</sub> peaks are also replicated in the NO data from the monitoring site, suggesting the dominance of a combustion source (Krivoshto et al. 2007).

During the period in which these  $NO_2$  exceedances at the Auckland Waterfront site were recorded, other pollutants monitored by the Auckland Waterfront site remained at relatively low ambient concentrations, as shown in figure 3. In particular,  $SO_2$  concentrations at the site remain low during the period of  $NO_2$  exceedances, ruling out shipping emissions (Song, 2014). Similarly,  $PM_{10}$  and  $PM_{2.5}$  concentrations do not appear to spike at the same time as the  $NO_2$  exceedances are recorded. The concentrations of  $PM_{10}$  and  $PM_{2.5}$  are generally elevated due to the traffic emissions from nearby Quay St often picked up by the monitoring site. Hence, the  $NO_2$  exceedances can be considered as from an (unusual) temporary point source rather than a meaningful change in baseline concentrations.



Figure 3 All pollutants monitored at Auckland Waterfront (00:00 01/06/2014 - 00:00 06/07/2014) (1-hour average for all pollutants)

## 3. Analysis of baseline data

Ambient NO<sub>2</sub> concentrations at Auckland Waterfront are generally low. As shown in Figure 4 and Table 3, ambient concentrations are generally in the 0-50  $\mu$ g/m<sup>3</sup> range. Concentrations are higher through winter. These higher winter concentrations are generally attributed to calmer meteorologic conditions preventing dispersion, and cooler temperatures with lower solar radiation slowing photochemistry and production of ozone (O<sub>3</sub>). Even during the winter peak concentrations are well within the NES-AQ guideline at around 100  $\mu$ g/m<sup>3</sup>. Accordingly, exceedances of the NES-AQ for NO<sub>2</sub> have not occurred, and neither been close to occurring since monitoring was initiated at the site in 2011.

Year	Average (1-hour) (µg/m³)	Maximum (1-hour) (µg/m³)	
2011	26.0	108.7	
2012	25	138.7	
2013	26.7	117.5	
2014*	22.7	84.8	

\* Data to 30/04/2014







Ambient  $NO_2$  concentrations at Auckland Waterfront are generally similar to those at the nearby monitoring site on Queen St. In Figure 5, similar patterns in concentrations are seen at both sites. The seasonal pattern seen at Auckland Waterfront is also evident at Queen St. Importantly, the exceedances measured at Auckland Waterfront are not accompanied by elevated ambient concentrations at Queen St, indicating the likely presence of a point source at the Waterfront site.



Figure 5 NO<sub>2</sub> data (1-hour average) at Auckland Waterfront and Queen St (00:00 01/01/2013 - 00:00 10/07/2014)

# 4. Previous Exceedances at Auckland Waterfront

Exceedances of the NES-AQ have only been recorded by the Auckland Waterfront site for SO<sub>2</sub>. Table 4 below summarises these exceedances. It should be noted that Auckland Waterfront has never recorded exceedances for any other monitored air quality parameter.

Date	Time	SO₂ concentration (µg/m³)	Relevant standard or guideline
14/10/2011	11:00	398.7	
14/10/2011	14:00	423.2	
14/10/2011	18:00	445.9	NES-AQ 1 Hour
15/10/2011	14:00	370.4	
15/10/2011	16:00	386.4	SO <sub>2</sub> Standard
15/10/2011	17:00	461.8	(350 μg/m³)
15/10/2011	18:00	389.3	(550 µg/m²)
15/10/2011	19:00	451.1	
16/10/2011	14:00	386.3	
14/10/2011	-	177.4	NES 24 – hour
15/10/2011	-	183.1	Guideline
16/10/2011	-	152.8	(120 μg/m³)

Table 4 Summary of historical exceedances at Auckland Waterfront

# 5. Exceptional circumstances

The Auckland Waterfront site has only recorded exceedances of standards and guidelines for  $SO_2$  in the past, so the three  $NO_2$  exceedances on 04/06/2014 were initially regarded as unusual. Furthermore, the last recorded  $NO_2$  exceedances in the Auckland Airshed were in 2012 at Queen St (2 exceedances), so when additional exceedances were recorded the situation was regarded as extremely unusual. Once the initial exceedances were confirmed as correct through standard Quality Assurance and calibration procedures, an investigation was launched to determine their cause.

This application demonstrates that the exceedance values were caused by exhaust emissions from the generator operating nearby. The operation of the generator represents a temporary point source, over which Auckland Council has no ability to control.

These exceedances are worthy of exemption under Regulation 16A, for four reasons:

- 1. The emissions from the diesel generator represent a strong localised impact on the monitoring site, and are not representative of the wider emissions profile generally monitored by the Auckland Waterfront Site.
- 2. There was nothing that Auckland Council could have done to prevent the exceedances. The work being carried out on the seawall was unforeseen and critical to ensure public safety. Investigations revealed that there was no other suitable location for the Diesel generator to operate in a safe manner. The monitor was located on the only suitable site available.
- 3. The Auckland Airshed has been performing well (for NO<sub>2</sub> exceedances) in recent years, with no unpermitted exceedances in many years. If these unusual results were to be included in the overall exceedance total, then the Auckland Airshed would be in breach of the National Environmental Standard based on the exceedances from an unusual point source over which the Auckland Council has no control. NO<sub>2</sub> exceedances are not typical of the Auckland Airshed.
- 4. The 5 requirements outlined by the MfE good practice guide (MfE, 2014) are all met by these exceedances.

### 5.1 Cause of exceptional circumstances

The Auckland Waterfront monitoring site is located on the Wharf belonging to and operated by POAL. POAL are undertaking an emergency program of rehabilitation on their wharf infrastructure, repair works are underway, defective concrete is being removed (by hydrodemolition) then being replaced with new concrete (by way of shotcreting)(See appendix C for a letter from POAL outlining this).

The seawall beneath the wharf is constructed of boulders and concrete, and is currently below minimum specification for earthquake. There is a chance in a moderate earthquake that this may collapse, which poses a significant risk to people, property and infrastructure. This essential initial work is expected to cost around \$700,000, with an overall project cost for the entire seawall repair of around \$40 million. This was detailed in a New Zealand Herald story, on 19/08/2014 (Appendix B). This work and that also being carried out by POAL are essential for protecting infrastructure, ensuring safety and allowing operations to continue.

The project uses a range of construction techniques, an as part of this a diesel generator was positioned 54m East of the monitoring site, to provide power to the operation, and providing power and compressed air for shotcreting and associated works. The positioning of the generator here was unavoidable as POAL needed to maintain access around the site for construction activities and port operations, whilst ensuring health and safety was not compromised (see Appendix C). The location of the generator is shown in figure 6, and pictures of the generator showing its proximity to the monitoring site are provided in figure 10.

Emissions from Diesel engines are significant sources of NO<sub>2</sub> (WHO, 2006; Krivoshto et al. 2007, USEPA, 2011; Kurtenbach et al. 2012; MfE, 2014; Fiebig et al. 2014). Other Auckland Council monitoring sites (Queen St and Khyber Pass) near heavily trafficked roads record high concentrations of NO<sub>2</sub> due to high emissions from vehicles. The elevated concentrations recorded at the site, were concurrent with the operation of the diesel generator.

The location of the generator in relation to the monitor resulted in the emission plume from the diesel generator travelling directly towards the monitoring site in a North East wind. Furthermore, under these wind conditions the emissions from the generator would be prevented from dispersing efficiently by the buildings on Quay St (visible behind the monitoring site in the figure 10). In figure 7, the effect of these wind conditions is shown. In the left – hand pollution rose, concentrations from all quarters are roughly equal. During the period of exceedances, easterly winds resulted in not only higher concentrations, but concentrations over the NES-AQ. Under 'normal'

conditions (i.e. the left hand pollution rose) easterly winds do not normally result in elevated concentrations or exceeding concentrations. The impact of the generator, as an additional point source, directly upwind in easterly conditions is clear. The influence of eddying off the buildings on Quay St is also clear, with elevated and exceeding concentrations from the southeast.

The strong influence of the additional  $NO_2$  source (the diesel generator) is also shown in figure 8. Classifying the  $NO_2$  data by wind direction, shows that in wind directions apart from East and South east, concentrations remain low, at under half the NES-AQ. With the wind from the east, the monitoring site is directly downwind of the generator, and concentrations are either elevated or exceeding the NES-AQ.



Figure 6 Location of the Auckland Waterfront site and the diesel generator



Figure 7 Pollution roses (1-hour averages) (NO<sub>2</sub>) for Auckland Waterfront 01/01/2013 - 01/06/2014 (left) and 01/06/2014 - 10/07/2014 (right)



Figure 8 Auckland Waterfront NO<sub>2</sub> data, classified by wind direction, 01/06/2014 - 10/07/2014

There is a strong relationship at lower ambient concentrations between Auckland Waterfront and Queen St (figure 9). Generally, concentrations at the two sites are very similar. Between 01/01/2013 and 03/06/2014, most NO<sub>2</sub> values recorded are closely related to each other, with large numbers of values concentrated around less than 50 µg/m<sup>3</sup>. There are some bins with only one count at slightly higher concentrations ( $100 - 150 \mu g/m^3$ ), which correspond with the maximum concentrations in figures 4 and 5. When the same data are plotted with the period of exceedances included (right hand plot), it is clear that the high concentrations recorded at Auckland Waterfront are not replicated at Queen St, in that there are a large number of outliers from the cluster of high counts at the lower concentrations. The influence of the temporary point source which this application contends has caused these exceedances is clear, in the large number of elevated and exceeding values seen in the right hand graph.



Figure 9 Scatter plot with hexagonal binning for Auckland Waterfront and Queen St  $NO_2$  data (1-hour average) 01/01/2013 - 03/06/2014 (left) and 01/01/2013 - 10/07/2014 (right). The dotted lines are the NES-AQ for each axis



Top left and Top Right: Views of the diesel generator on the Wharf at the Auckland Waterfront monitoring site. Note the exhaust mounted on the roof of the truck which houses the generator.

Bottom Left: View from the generator to the monitoring site (obscured by white shipping container, indicated by arrow) looking West. Bottom Right: View from adjacent monitoring site to generator (indicated by arrow) looking East.

Figure 10 Photographs of the diesel generator located near the monitoring site

### 5.2 Justification of exceptional events

The operation of the diesel generator was beyond the reasonable control of Auckland Council. As a landowner, POAL is entitled to carry out works on their infrastructure. These works are essential to ensure the continued safe operations of the port. The wharf structures have deteriorated to the point where they require urgent work to prevent health and safety being compromised, and to prevent disruption to POAL operations.

These works required the use of a diesel generator, to provide power for the operation. The location of the works, and the operational requirements of POAL, meant that the generator could only be located near the monitoring site. The area is an operational area for POAL, and accordingly the generator needed to be located in a manner which would not compromise access or health and safety.

The Users' Guide to the revised NES-AQ (MfE, 2014) lays out 5 conditions which must be met in order for an event to be considered exceptional:

- 1. Causation whether the exceedance was caused by the events being assessed
- 2. Control -the circumstances must be beyond the reasonable control of the regional council
- 3. Foreseeability an assessment of whether the circumstances were able to be reasonably predicted and/or planned for
- 4. Frequency and likelihood of reoccurrence an assessment of how unusual the events were
- 5. **Purpose of the RMA** whether a determination that circumstances were exceptional is consistent with the purpose of the RMA

This application meets all 5 of the requirements outlined by MfE (2014) as detailed below.

#### 5.2.1 Causation

As described earlier in this section, we are confident that the exceedances described in this application were caused by an additional point source (diesel generator) operating near the monitoring site, which has temporarily elevated concentrations of NO<sub>2</sub> in the area. In contrast, the monitoring site typically records NO<sub>2</sub> concentrations at roughly half the NES-AQ. The additional point source temporarily elevated concentrations and is not representative of ambient concentrations. Furthermore, exceedances were only recorded when the generator was running (i.e. during the week), and at similar times (between 0900 and 1500 in all cases). The exceedances were also dependent on wind direction, only being recorded when the wind was from the North Eastern sector.

The operation of this generator, combined with wind from the East meant that the monitoring site was directly exposed to the emission plume from the diesel generator. Concentrations at the monitoring site are generally low and record values similar to the nearby Queen St site. In light of this, and the analysis in the preceding section, it is considered that the cause of the exceedances is clearly the diesel generator.

### 5.2.2 Control

The circumstances which lead to these exceedances were beyond the reasonable control of Auckland Council. Firstly, the work carried out on the seawall and wharves are critical – this is emergency work, as identified by the letter from POAL given in Appendix C. Secondly, there was little that could have been done to minimise the impact of the diesel generator on the monitoring site as it needed to be located near the active work area for operational and health and safety requirements. Restricting the use of the diesel generator would prolong the construction project, and increase operational and health and safety risk for POAL. Auckland Council has little ability to restrict this kind of activity, as it is not restricted, and does not require consent.

#### 5.2.3 Foreseeability

There was little that Auckland Council could have done to foresee these events. The work carried out on the wharf and seawall was essential to ensure the on-going integrity of the seawall, and to prevent danger to people and infrastructure. As identified in the New Zealand Herald Article (Appendix B), and the letter from POAL (Appendix C) this repair work was unforeseen. In the event that these events were foreseen, it is unclear of whether this would have had an impact in terms of preventing these exceedances from occurring, given that the location of the Diesel generator was limited to the vicinity of the monitoring site, and that the work being carried out was critical and could not be put off or delayed.

#### 5.2.4 Frequency and likelihood of reoccurrence

The events detailed in this application are extremely unusual. The Auckland Urban airshed has not been in breach of the NES-AQ for  $NO_2$  since 2009 and the last exceedances were recorded in 2012, so the exceedances themselves are very unusual. The cause of the exceedances is even more unusual, in that they were caused by a temporary additional point source. Given that this point source is temporary (around 6 months is the expected duration), there is little likelihood that the exceedances will be repeated, in that after the work is completed the source will be removed.

#### 5.2.5 Purpose of the RMA

The purpose of the RMA is to promote sustainable management of resources, whilst allowing responsible use of natural resources. In this case, the exceedances are consistent with the purpose of the RMA, as it allows for a certain degree of use of resources. The generator was located near the site in order to allow use of the resource in a practicable and safe manner. Furthermore, the regulations (NES-AQ) are designed to manage poor air quality from representative sites and emissions profiles. As this application has demonstrated, the exceedances recorded at the Auckland Waterfront site are not representative of the ambient pollutant profile at the site, and are due to the impact of a temporary point source. Accordingly this application is consistent with the purpose of the RMA.

### 6. Conclusion

As this application has demonstrated, the 13 exceedances recorded at the Auckland Waterfront between 04/06/2014 and 08/07/2014 are worthy of exception circumstances consideration, for the four reasons below:

- 1. The emissions from the diesel generator represent a strong localised impact on the monitoring site, and are not representative of the wider emissions profile generally monitored by the Auckland Waterfront Site.
- 2. There was nothing that Auckland Council could have done to prevent the exceedances. The work being carried out on the seawall was unforeseen and critical to ensure public safety. Investigations revealed that there was no other suitable location for the Diesel generator to operate in a safe manner. The monitor was located on the only suitable site available.
- 3. The Auckland Airshed has been performing well (for NO<sub>2</sub> exceedances) in recent years, with no unpermitted exceedances in many years. If these unusual results were to be included in the overall exceedance total, then the Auckland Airshed would be in breach of the National Environmental Standard based on the exceedances from an unusual point source over which the Auckland Council has no control. NO<sub>2</sub> exceedances are not typical of the Auckland Airshed.
- 4. The 5 requirements outlined by the MfE good practice guide (MfE, 2014) are all met by these exceedances.

Furthermore, as demonstrated in section 5 of this application, the 13 exceedances fulfil all 5 requirements for exceptional circumstances consideration under the NES, as outlined in MfE (2014). Auckland Council looks forward to receiving the Minister's decision regarding the exceptional status of these exceedances.

### 7. References

ARC (2007). Nitrogen dioxide in air in the Auckland region, Auckland Regional Council technical publication no. 346, December 2007.

Fiebig, M., Wiartalla, A., Holderbaum, B., Kiesow, S. 2014. Particulate emissions from diesel engines: correlation between engine technology and emissions. *Journal of Occupational Medicine and Toxicology* 9:6.

Kurtenbach, R., Klefmann, J., Niedojadlo, A., Wiesen, P. 2012. Primary NO2 emissions and their impact on air quality in traffic environments in Germany. *Environmental Sciences Europe* 24:21

Krivoshto, I., Richards, J., Albertson, T., Derlet, R. 2007. The Toxicity of Diesel Exhaust: Implications for Primary Care. *Journal of The American Board of Family Medicine* 21 55-62.

Ministry for the Environment (MfE), 2014. 2011 user's guide to the revised National Environmental Standards for Air Quality: Updated 2014.

Song, S. 2014. Ship emissions inventory, social cost and eco-efficiency in Shanghai Yangshan port. *Atmospheric Environment* 82 288-297

United States Environmental Protection Agency (USEPA) 2011. Air Quality guide: Nitrogen Dioxide. Office of Air and Radiation (6301A) EPA-456/F-11-003.

WHO (2006). Air quality guidelines global update 2005: particulate matter, ozone, nitrogen dioxide, and sulphur dioxide, World Health Organisation, October 2006.

Appendix A. Site metadata (overleaf)

#### Site name

Auckland Waterfront (Mobile Trailer)

#### Address

Ports of Auckland Limited 88-89 Quay Street Auckland City

Easting Northing Elevation (m)

These vary - see table on next page

#### General site characteristics

Urban

#### Topography

The site is located between Queens and Captain Cooks wharves, on a flat area.

#### **Micro met characteristics**

Well exposed to winds from all directions; slight sheltering from houses, fence and trees to the north.



Site - view from the south west.

#### Site description and area characteristics

This relocated site is located between Queens and Captain Cooks wharves inside Ports of Auckland grounds. It is located beside Queens Wharf on the city/south end. This is a flat area 8m north from Quay Street, 73m east from the Queen and Quay Street intersection, and 30m northwest from the Quay and Commerce Street intersection. High rise buildings are located 28m south from the shed

#### Air Quality Management Area

Urban

#### **Predominant sources**

Vehicles and port activities

#### Distance from road and other major sources

Approximately 4m from nearest road, 8m north from Quay Street, 73m east from the Queen and Quay Street intersection, and 30m northwest from the Quay and Commerce Street intersection.

#### Vehicle counts

N/a

#### Any nearby features that could affect measurements?

Nearest vertical supporting structure is ~5m west of the shed, and the nearest tree is 16m south from the shed. High rise buildings are located 28m south from the shed.

#### AS/NZS 3580.1.1:2007 compliant?

Yes

Monitoring commenced

21.02.11

Monitoring ceased

On-going

#### Pollutants monitored (current)

 $\begin{array}{l} \text{CO: } 21.02.11 \text{ to date} \\ \text{NO}_{x}\text{: } 21.02.11 \text{ to date} \\ \text{PM}_{10} \text{ (Beta Gauge): } 21.02.11 \text{ to date} \\ \text{PM}_{2.5} \text{ (Beta Gauge): } 21.02.11 \text{ to date} \\ \text{SO}_{2}\text{: } 22.02.11 \text{ to date} \end{array}$ 

#### Pollutants monitored (past)

Nil

#### Inlet height (m)

4

#### Meteorological parameters measured on site

Wind speed, wind direction, ambient temperature, relative humidity, solar radiation, rainfall.

#### Mast height (m)

6

### Data owner

Auckland Council



Aerial view of site.

Source: Auckland Council GIS Viewer (extracted April 2013).

Site	NZMG		NZTM		Elevation	Distance	• •	
	Easting	Northing	Easting	Northing	(m)	from road (m)	Start	Finish
Α	2668244	6482698	1757808	5921001	2	20	21.02.11	17.08.11
в	2668523	6482735	1758086	5921039	2	150	19.08.11	25.04.12
С	2668075	6482737	1757638	5921040	2	8	26.04.12	on-going



Location map: location 1 – red star; location 2 yellow star; location 3 (current location) - white star. Source: Auckland Council GIS Viewer, extracted May 2013

## Appendix B. New Zealand Herald article, 19/08/2014.



### Appendix C. Letter from POAL, 02/09/2014.



#### 2<sup>nd</sup> September 2014

Nick Reid Auckland Council The Strand Takapuna

Dear Nick

#### Ports of Auckland - Wharf Repair Works

Ports of Auckland is currently carrying out repair works to the Queens / Captain Cook Wharf / Breastwork structures close to where Auckland Council'a Air Quality Testing instrumentation has been located.

The works are essential works which are required to be undertaken to ensure the safety and integrity of the wharf / breastwork structure.

The works being undertaken consists of; removal and capture of deteriorated concrete by highpowered waterblasting, replacement of reinforcing steel, reinstatement of concrete by spraying on shotcrete. All of these operations are powered by truck-mounted diesel generators which are situated alongside the Air Quality Testing instrumentation. It is not possible to relocate the repair equipment, as it must be located within close proximity to the work site.

The repair works commenced in April 2014 and are expected to be complete by January 2015. Works are being carried out Monday to Saturday 07:00 – 17:00.

Yours faithfully

AGKI

Alistair Kirk General Manager – Infrastructure & Property

Ports of Auckland Limited

Ports of Auckland Building, Sunderland Street, Auckland PO Box 1281, Auckland 1140 New Zealand T: +64 9 348 5000 F: +64 9 348 5005 www.poal.co.nz