



9(2)(a)

Dear 9(2)(a)

Thank you for your email to the Climate Change Commission (the Commission) of 11 May 2021 requesting the following under the Official Information Act 1982 (the Act):

Any documents seen or signed by the Chief Executive Officer, Ms. Jo Hendy, which refer to anything related to any conflicts of interest within the Climate Change Commission.

Any documents seen or signed by the Chair of the Climate Change Commission, Dr. Rodrick Carr, which refer to anything related to any conflicts of interest within the Climate Change Commission.

We understand that you clarified this request with the Commission to be related to:

- *the interests of Commissioners, and*
- *interests declared during the appointment process, or which the Chair and/or the Chief Executive have been subsequently made aware of since appointment.*

The information relating to the appointment process best sits with the Ministry for the Environment (the Ministry). Therefore, on 20 May 2021 the Commission transferred this part of your request to the Ministry to provide a response.

The Ministry has interpreted your request to be for any documents seen or signed by the Commission's Chair on interests of Commissioners declared or raised during the appointment process.

Two documents have been identified in scope of your request, as listed in the attached document schedule. Some information within these documents has been withheld under section 9(2)(a) of the Act to protect the privacy of natural persons.

In terms of section 9(1) of the Act, I am satisfied that, in the circumstances, the withholding of this information is not outweighed by other considerations that render it desirable to make the information available in the public interest.

Following their appointment, Commissioners disclose their interests to the Board by recording them in the Commission's board interests register.

You have the right to seek an investigation and review by the Office of the Ombudsman of my decision to withhold information relating to this request, in accordance with section 28(3) of the Act. The relevant details can be found on their website at:

www.ombudsman.parliament.nz.

Please note that due to the public interest in our work the Ministry for the Environment publishes responses to requests for official information on our [OIA responses page](#) shortly after the response has been sent. If you have any queries about this, please feel free to contact our Ministerial Services team: ministerials@mfe.govt.nz.

Yours sincerely



Katie Mathison
Director - Customers

Released under the provision of
the Official Information Act 1982

Document schedule

Document no.	Document date	Content	Decisions	OIA sections applied
1	17 September 2019	Email: RE: CV Form	Released in part	9(2)(a)
2	17 September 2019	Chair Candidate – CV FORM R M Carr	Released in part	9(2)(a)

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From: rodmcarr <s 9(2)(a) >
Sent: Tuesday, 17 September 2019 4:41 pm
To: Kari Scrimshaw
Subject: RE: CV Form

Looks perfect to me.
Good to go.

Rod

Sent from Samsung Mobile

----- Original message -----

From: Kari Scrimshaw
Date: 17/09/2019 4:25 PM (GMT+12:00)
To: Roderick Carr
Subject: RE: CV Form

Hi Rod,

Thanks these suggestions are noted and have been updated in the attached. I am sending you the final form (the demographic questions were poorly highlighted by me in yellow). Sorry I know that is a terrible choice for colour blindness so I have bolded the text now.

I have also summarised the conflicts that you have identified and the mitigations as below.

Possible conflicts of interest*	Dr. Carr has disclosed equity interests in a farm forestry venture, ordinary shares in Contact Energy and ordinary shares in Auckland Airport. In addition, the candidate has identified that he holds a directorship role for ASB Bank limited and ownership of a Waihi beach bach property and a New York apartment.
Proposals for conflict management (if applicable)	We are confident that all of these conflicts can be managed and will be working with the candidate to ensure the appropriate protocols about how these conflicts are disclosed and managed.

Kari

From: Roderick Carr <§ 9(2)(a)>
Sent: Tuesday, 17 September 2019 3:38 PM
To: Kari Scrimshaw <Kari.Scrimshaw@mfe.govt.nz>
Subject: Re: CV Form

MFE CYBER SECURITY WARNING
This email originated from outside our organisation. Please take extra care when clicking on any links or opening any attachments.

Couple of observations. Age and gender do not appear to have been selected but happy to disclose as 60 plus and male.

Might be better to name the two Degrees from Otago University as BCom (Hons) in economics and LLB (Hons)

On reading the skills and experience there is an apparent break in chronology 1993-1997..PhD programme.

Hope that is useful.

Rod

Sent from my iPad

On 17/09/2019, at 3:28 PM, Kari Scrimshaw <Kari.Scrimshaw@mfe.govt.nz> wrote:

Kari Scrimshaw
Programme Director
Climate Change Commission Establishment Team

§ 9(2)(a)

Please Note: The information contained in this e-mail message and any attached files may be confidential information, and may also be the subject of legal professional privilege. It is not necessarily the official view of the Ministry for the Environment. If you are not the intended recipient, any use, disclosure or copying of this e-mail is unauthorised. If you have received this e-mail in error, please notify us immediately by reply e-mail and delete the original. Thank you.

<Chair Candidate-CV-Form R M CARR.doc>

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Candidate CV Form

Sections with * must be completed.

This form should be completed by, or in consultation with, the candidate where possible.

Name* (family name in upper case; include title if appropriate)	Dr Roderick M CARR
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The Position

Organisation/Entity*	Climate Change Commission
Position * (chair/member etc.)	Chair
Term*	5 years
Payment* (per day /per year)	\$1,710

How the Candidate Meets the Needs of the Position

Skills and attributes the candidate will bring to the position* (e.g. business skills, community involvement, cultural awareness, regional perspective – as relevant to the needs of the position)	Strong governance leader, across public, private and not-for profit organisations. Experienced board member and Chair used to providing independence, assurance and assessing risks. Significant experience consulting with, and managing divergent stakeholder interests across complex environments. As a leader offers a high degree of curiosity and humility to any role.
Possible conflicts of interest*	Dr. Carr has disclosed equity interests in a farm forestry venture, ordinary shares in Contact Energy and ordinary shares in Auckland Airport. In addition, the candidate has identified that he holds a directorship role for ASB Bank limited and ownership of a Waihi beach bach property and a New York apartment.
Proposals for conflict management (if applicable)	We are confident that all of these conflicts can be managed and will be working with the candidate to ensure the appropriate protocols about how these conflicts are disclosed and managed.

The Candidate

Name* (family name in upper case; include title if appropriate)	Dr Roderick M CARR
Address	s 9(2)(a) [REDACTED]
Ethnicity(s)	Caucasian
Age range*	<30 31-40 41-50 51-60 60+ prefer not to say
Gender*	M F gender diverse prefer not to say
Current or most recent Employment* (specify position and employer, include years)	Vice-Chancellor , University of Canterbury 2009 – 2019
Government board appointments held* (current and previous, include years)	Reserve Bank of New Zealand Director 2012 – 2017 (Chair 2013 – 2016) Various government taskforces most notably: Christchurch Earthquake Appeal Trust Trustee (2011 -) Dept. of Prime Minister and Cabinet's Canterbury Earthquake Recovery and Learning Legacies Sponsors Group Member (2015 - 2016) Crown Research Institute Ministerial Reference Group Member (2011) Ministerial Taskforce on Crown Research Institute Member (2010) Ministerial Taskforce on the Funding and Provision of Health Services Chair (1991) Currently under consideration for membership for Otakaro Limited
Private and/or voluntary sector board appointments held* (current and previous, include years)	ASB Bank Ltd (2018 -) Waingawa Forest Corporation Limited (1982 -) JRC (NZ) Ltd (2019 -) Christchurch Arts Centre Trust Board (Trustee 2017 -) Committee for Canterbury (Founding Member 2015 -) Christchurch Earthquake Appeal Trust (Trustee 2011 -)

<p>Qualifications and experience (include significant work history and community involvement)</p>	<p>Qualifications: PhD Risk Management and Insurance, University of Pennsylvania, Wharton School (May 1997) MA in Applied Economics and Managerial Science, University of Pennsylvania, Wharton School (May 1996) MBA Columbia University Graduate School of Business (1987) LLB Hons (December 1982) BCom Hons in Economics (April 1981)</p> <p>Experience: 2009 – 2019 Vice-Chancellor, University of Canterbury 2003 – 2009 Chief Executive, Jade Software Corporation Ltd, Christchurch, New Zealand 1998 - 2003 Deputy Governor and Director Reserve Bank of New Zealand, Wellington, New Zealand (2002 Acting Governor and Director) 1998 Group General Manager Global Payments National Australia Bank, Melbourne, Australia 1997 General Manager Asia Pacific Payments, Electronic and Global Cross Border Payments, National Australia Bank, Melbourne, Australia 1993-1997 PhD programme as listed in Qualifications above 1989 – 1993 Chief Manager Retail Financial Services & General Manager, BNZ Life Insurance Ltd</p>
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Use further pages, if required.

Date: 18 / 09 / 2019

Ethnicity, age and gender information is collected for statistical collation by the State Services Commission, Ministry for Women, and Office of Ethnic Communities.

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