

20-D-00849/OIAD-16

9(2)(a)

Dear 9(2)(a)

Thank you for your email of 27 April 2021 to the Ministry for the Environment (the Ministry) requesting the following under the Official Information Act 1982 (the Act):

*We request all official information that your department in Head Office Wellington, or Regional Office in Christchurch holds with regards to the above-named land (Tawera MR 897 Sec 2) with respect to matters between your offices and:*

1. *The Canterbury Mudfish*
2. *Any contracts with parties to perform certain works, consultancies or provide information*
3. *Any Iwi, Runanga or Maori Entities or Persons*
4. *Any Council eg the Waimakariri District Council*
5. *Any Regional Council eg Environment Canterbury*

As you were advised on 24 May 2021, the timeframes for responding to your request were extended under section 15A(1)(b) of the Act; as consultations necessary to make a decision on the request are such that a proper response cannot reasonably be made within the original timeframe.

The Ministry has identified six documents in scope of your request, as listed in the attached document schedule. Some information within these documents has been withheld under section the following sections of the Act:

9(2)(a) to protect the privacy of natural persons.

9(2)(g)(i) to maintain the effective conduct of public affairs through the free and frank expression of opinions by or between or to Ministers of the Crown or members of an organisation or officers and employees of any public service agency or organisation in the course of their duty.

In terms of section 9(1) of the Act, I am satisfied that, in the circumstances, the withholding of this information is not outweighed by other considerations that render it desirable to make the information available in the public interest.

Document 2 in the schedule, *Freshwater Improvement Fund Application Form 2020*, contains information in scope of parts 1, 3, 4 and 5 of your request.

All documents in the schedule are in scope of part 3 of your request. Please note, document 5 is an excerpt. The full document contains information in relation to all applications made to Round 2 of the Freshwater Improvement Fund. Only information relating to Tawera 897 (2) has been released, the rest of the information is out of scope of this request.

The Ministry did not find any information in scope of part 2 of your request. I am therefore refusing this part of your request under section 18(e) of the Act as the information requested does not exist.

You have the right to seek an investigation and review by the Office of the Ombudsman of my decision to withhold information relating to this request, in accordance with section 28(3) of the Act. The relevant details can be found on their website at: [www.ombudsman.parliament.nz](http://www.ombudsman.parliament.nz).

Please note that due to the public interest in our work the Ministry for the Environment publishes responses to requests for official information on our [OIA responses page](#) shortly after the response has been sent. If you have any queries about this, please feel free to contact our Ministerial Services team: [ministerials@mfe.govt.nz](mailto:ministerials@mfe.govt.nz).

Yours sincerely



Lorena Stephen  
**Director, Sustainable Land Use Delivery**

### Document schedule

Document no.	Document date	Content	Decisions	OIA sections applied
1	21 September 2020	Email: FIF application Tawera 897(2) Ahu Whenua Trust	Released in part	S 9(2)(a) – to protect the privacy of natural persons
2		Freshwater Improvement Fund Application Form 2020	Released in part	S 9(2)(a) – to protect the privacy of natural persons
3	25 November 2020	Email: Tawera 897(2) Ahu Whenua Trust	Released in part	S 9(2)(a) – to protect the privacy of natural persons
4	3 December 2020	Email: Outcome of Freshwater Improvement Fund Application	Released in part	S 9(2)(a) – to protect the privacy of natural persons
4.1	3 December 2020	Email attachment: FIF-2066 Application Letter	Released in part	S 9(2)(a) – to protect the privacy of natural persons
5		Updated comments for unsuccessful FIF Round 2 projects	Released in part	S 9(2)(g)(i) – to maintain the effective conduct of public affairs through the free and frank expression of opinions by or between or to Ministers of the Crown or members of an organisation or officers and employees of any public service agency or organisation in the course of their duty

**Paolo Alejandro**

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**From:** Freshwater Improvement Fund Application  
**Sent:** Monday, 21 September 2020 5:04 pm  
**To:** Hautū Holdings  
**Subject:** RE: FIF application Tawera 897(2) Ahu Whenua Trust

Kia ora Ruiha,

Thank you for your email.

We've had a quick look over your submission to check eligibility and completeness of the application, and can confirm it meets the requirements to proceed onto the assessment phase of the application process.

Next steps

- Applications close for round 1 of the 2020/21 Freshwater Improvement Fund on 21 September.
- Applications will then be assessed, with all applicants notified of decisions from mid-November.

If you need assistance or have any questions please contact the Freshwater Investments Team on [fif@mfe.govt.nz](mailto:fif@mfe.govt.nz) or call 022 1755 840

Ngā mihi

The Freshwater Investments Team



**From:** Hautū Holdings s 9(2)(a)  
**Sent:** Monday, 21 September 2020 11:02 am  
**To:** Freshwater Improvement Fund Application <[fifapplication@mfe.govt.nz](mailto:fifapplication@mfe.govt.nz)>  
**Subject:** FIF application Tawera 897(2) Ahu Whenua Trust

#### **MFE CYBER SECURITY WARNING**

This email originated from outside our organisation. Please take extra care when clicking on any links or opening any attachments.

Kia ora FIF

Please find attached our application for the protection, restoration, development and enhancement of Wetlands at Tawera that host critically endangered flora & fauna.

The Department of Conservation has confirmed their funding commitment in writing, however the delegated authority has been called away and cannot sign their official letter before the FIF application deadline. We can supply this letter at a later date should the FIF panel consider our application suitable for moving to the next phase and exploring further.

Please advise if you need any other information in support of this application

Ngā mihi  
Ruiha Caldwell  
Trustee Treasurer  
Tawera 897(2) Ahu Whenua Trust

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**Ruiha Caldwell** | Managing Director  
MBA, CFE, ACA  
Mobile: s 9(2)(a)

**Whenua Māori Services Ltd**  
**t/a Hautū Holdings**

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# Freshwater Improvement Fund Application Form 2020

## Part 1: Project proposal and governance

Overview	
Applicant name	Tawera 897(2) Ahu Whenua Trust
Project name	The Tawera Project
Total cost of project	\$7,354,000.00
Amount requested from the Freshwater Improvement Fund	\$6,224,000.00
Length of project (1–5 years)	5 years



## Official information and privacy

### Official Information Act 1982

**Important:** Information presented to the Minister for the Environment or the Ministry for the Environment is subject to disclosure under the Official Information Act 1982 (OIA). Certain information may be withheld in accordance with the grounds for withholding information under the OIA. Further information on the OIA is available at [www.ombudsman.parliament.nz](http://www.ombudsman.parliament.nz).

Information held by the Minister or Ministry may have to be released under the OIA in response to a request from a member of the public (or any other body) for that information. If you wish to provide sensitive information to the Minister or Ministry that you do not want released, it is recommended you consult with the Ministry as to whether the information is necessary for the application, and whether there may be grounds in the OIA for withholding the information. For instance, if release of the information would disclose a trade secret or be likely to unreasonably prejudice the commercial position of the person who supplied or who is the subject of the information, then there may be grounds to withhold the information. If an OIA request relating to your application is received, the Ministry will contact you to discuss it and what the implications of releasing your information are.

The grounds for withholding information must always be balanced against consideration of public interest that may justify release. Although the Ministry does not give any guarantees as to whether information can be withheld under the OIA, it may be helpful to discuss OIA issues with the Ministry in advance, if information provided with an application is sensitive.

### Privacy Act 1993

**Important:** the Ministry for the Environment (Environment House, 23 Kate Sheppard Place, Wellington 6011) may collect, use, hold or disclose personal information for the purpose of assessing eligibility and suitability for Freshwater Improvement Fund funding. Individuals have the right, in accordance with the Privacy Act 1993, to request access to and correction of their personal information. While the provision of personal information is not mandatory, failure to provide requested information could lead to a delay in considering the application or a decline of the same.

## Introduction

This application form is for project proposals to the 2020 funding round of the Freshwater Improvement Fund. We strongly recommend you read the *Freshwater Improvement Fund Guide for Applicants 2020* before completing this application form.

## Important information

- To improve your chance of success in getting a grant, refer to the *Freshwater Improvement Fund Guide for Applicants 2020* before completing this form.
- The application form has two parts – both must be completed:
  - Part 1 : Project proposal and governance (in Word) (*this document*)
  - Part 2: Estimated project budget (in Excel).

You must fill out both parts, because incomplete applications will not be assessed.

- You can move between the fill-in boxes in this form by using the mouse to scroll through the text, pressing the ↑ and ↓ keys on your keyboard, or using the Tab key. Use text only; do not enter images, tables or graphs into the form.
- Answer all questions and complete the checklist. If a question does not apply to your project, please use 'N/A' or 'none' instead of leaving the reply blank.
- Follow the word limits for those answers that have them. To check the number of words, highlight the text and use Word Count on the Review toolbar.
- We cannot accept applications that are late or incomplete. An application will not be considered if:
  - the designated application form (Part 1 and Part 2) is not used or the template form has been changed in any way
  - the application form (Part 1) is not electronically signed
  - the 'Balance of Funds' in the section 'Budget Summary (C)' of the Part 2 application form is showing a negative figure
  - the required supporting documentation has not been attached
  - all of the required information is not submitted as one email
  - it is received after the closing date or after the closing time on the closing date.
- Note that Freshwater Improvement Fund grant payments can only be paid **after** funding is approved and a deed of funding has been signed by both contracting parties. Funds are not available for activities that occur before the deed is signed.

**If you need help to complete the application form, refer to the *Guide for Applicants 2020* in the first instance. For any further information, email [fif@mfe.govt.nz](mailto:fif@mfe.govt.nz).**



## When your application is complete

For **Round 2**, completed application forms (including all supporting information) must be received by the Ministry for the Environment by **midday 21 September 2020**.

For **Round 3**, completed application forms (including all supporting information) must be received by the Ministry for the Environment by **midday 10 February 2021**.

We are unable to accept late applications. We are also unable to assess incomplete applications, so it is important you provide all the required information.

Email your completed application form and supporting documentation (as required) to [fifapplication@mfe.govt.nz](mailto:fifapplication@mfe.govt.nz) (with 'FIF application' and your organisation name in the subject line). We will only accept **one email per application** – documents submitted as multiple emails will not be accepted. A checklist is provided for your use on the last page of this application form.

Once you have emailed your application, you should receive a reply to say it has been received. If you have not received a reply within one working day, please call us to let us know. In rare cases, emails can be blocked without notification to either party, and we do not want to miss your application.

## Eligibility criteria

Applicants to the Freshwater Improvement Fund must be able to answer 'yes' to each of the eligibility criteria below. The following self-assessment checklist is based on the fund criteria. If you cannot meet these criteria, you are not eligible to apply to the Freshwater Improvement Fund.

Note that meeting the eligibility criteria does not guarantee your project will be funded. If you have any questions about the eligibility criteria, please email [fif@mfe.govt.nz](mailto:fif@mfe.govt.nz).

### Self-assessment checklist

Does your project meet the following criteria?		Yes / No
1	The project will contribute to the improvement of the management of New Zealand freshwater bodies.	Yes
2	<p>The project will address <b>one or more</b> of the following:</p> <ul style="list-style-type: none"> <li>achieve demonstrable co-benefits such as: <ul style="list-style-type: none"> <li>improved fresh, estuarine or marine water quality or quantity</li> <li>increased biodiversity</li> <li>habitat protection</li> <li>soil conservation</li> <li>improved community outcomes, such as recreational opportunities or mahinga kai</li> <li>reduction to current or future impacts of climate change</li> <li>reduced pressure on urban or rural infrastructure</li> </ul> </li> <li>increase iwi/hapū, community, local government or industry capability and capacity in relation to freshwater management</li> <li>establish or enhance collaborative management of freshwater</li> <li>increase the application of mātauranga Māori in freshwater management</li> <li>include an applied research component that contributes to improved understanding of freshwater interventions and their outcomes.</li> </ul>	<p>Select all that apply. You must select at least one:</p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input checked="" type="checkbox"/></p>
3	The minimum request for funding is \$200,000 (excluding GST).	Yes
4	The project will be funded for a maximum period of up to 5 years after which the project objectives will have been achieved or the project will be self-funding.	Yes
6	The project will achieve benefits that would not otherwise be realised without the fund or that are not more appropriately funded through other sources.	Yes
7	The effectiveness of the project and its outcomes will be monitored, evaluated and reported.	Yes
8	An appropriate governance structure is in place (or will be established as part of the project).	Yes
9	The applicant is a legal entity.	Yes

## Assessment criteria

Projects are measured against assessment criteria. The assessment panel reviews, scores and assesses applications that meet the eligibility criteria by deciding the extent to which, and how well, the project shows it meets the assessment criteria.

Some projects may be recommended for funding without conditions. Other projects may be recommended for funding for less than the requested amount and/or with specific conditions of funding attached.

Assessment criteria	
1	The extent to which the project addresses the management of freshwater bodies considered to be vulnerable by communities, iwi/hapū, local government or central government.
2	The project demonstrates improvement in the values and benefits derived from the freshwater body.
3	The project contributes to recovery from COVID-19 through such things as, but not limited to, generating new employment and maintaining existing workforce capacity.
4	The extent to which public benefit is increased.
5	The project demonstrates a high likelihood of success based on sound technical information or examples of success achieved through comparable projects undertaken elsewhere.
6	The extent to which the project will leverage other funding.
7	Where applicants request more than 50 per cent of the project costs, the extent to which the higher funding contribution is justified by the COVID-19 recovery outcomes likely to be achieved.
8	The project will involve the necessary partner organisations to ensure its success.
9	The project will engage personnel with the required skills and experience to successfully deliver the project.

## SECTION A: Applicant details

See the Guide for Applicants 2020 for information on how to complete this section.

### 1. Organisation details

Organisation name	Tawera 897(2) Ahu Whenua Trust		
Trading name (if different)			
Description of your organisation	Legal entity formed under the Te Ture Whenua Māori Land Act 1993		
Physical address <i>Include post code.</i>	Rampaddock Rd, View Hill, Oxford, Waimakariri District, South Island		
Postal address <i>Include post code.</i>	PO Box 361 Rangiora 7440		
Telephone	021437402		
Website address	n/a		
GST number <i>Enter 'N/A' if you are not GST registered.</i>	n/a		
Legal entity status <i>Select one only.</i> <i>(You will be required to provide a certificate of incorporation if you are invited to Stage 2 of the funding process.)</i>	<input type="checkbox"/> Incorporated society <input type="checkbox"/> Charitable trust <input type="checkbox"/> Limited partnership <input type="checkbox"/> Māori trust board <input type="checkbox"/> Limited liability or cooperative company <input type="checkbox"/> Regional council/unitary authority <input type="checkbox"/> Territorial authority <input checked="" type="checkbox"/> Other		
Date of incorporation or establishment	6 June 1985		

### 2. Contact details for this application

Primary contact name	Ruiha Caldwell	Secondary contact name	Charlie Williams
Organisation	Trustee	Organisation	Trustee
Role or job title	Treasurer	Role or job title	Chairperson
Phone	s 9(2)(a)	Phone	s 9(2)(a)
Email address		Email address	
Physical address		Physical address	

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## SECTION B: Project details

See the Guide for Applicants 2020 for information on how to complete this section.

### 3. Project overview

Project name	The Tawera Project																		
<b>Project purpose</b> <i>This should be a brief description of the problem, solution and outcome your project will achieve.</i>  <i>You will have the opportunity to expand on this description later in the application form. (around 100 words)</i>	<p>The purpose of the project is to protect, enhance and develop existing underutilised Māori land which is currently within a defunct and broken agricultural regime.</p> <p>We aim to enhance the multiple values and considerable <i>taonga</i> which sit inside the <i>whenua</i>. These include remnant native forest, wetland, critically endangered flora and fauna species including mudfish (<i>Kōwaro</i>), long finn eel (<i>Tuna</i>), freshwater crayfish (<i>Kewai</i>), rohutu, climbing broom and coprosma.</p> <p>The core problem is that within this landscape there are limited current mechanisms for protection and enhancement of such species and landscape uses.</p> <p>The solution lies with working with multiple partners and community in coconstructed development of a <i>mana whenua</i> led management and development strategy.</p> <p>This will add cultural currency, <i>mātauranga</i> (knowledge), biodiversity, new learnings, conceptual frames of reference to apply to management and development process as well as water quality gains.</p> <p>We will synergise ecosystem services, sustainable development and kaupapa Māori planning.</p>																		
<b>Region</b> <i>Select all that apply.</i>	<table> <tr> <td><input type="checkbox"/> Northland</td><td><input type="checkbox"/> Taranaki</td><td><input type="checkbox"/> West Coast</td></tr> <tr> <td><input type="checkbox"/> Auckland</td><td><input type="checkbox"/> Manawatu–Whanganui</td><td><input checked="" type="checkbox"/> Canterbury</td></tr> <tr> <td><input type="checkbox"/> Waikato</td><td><input type="checkbox"/> Wellington</td><td><input type="checkbox"/> Otago</td></tr> <tr> <td><input type="checkbox"/> Bay of Plenty</td><td><input type="checkbox"/> Tasman</td><td><input type="checkbox"/> Southland</td></tr> <tr> <td><input type="checkbox"/> Gisborne</td><td><input type="checkbox"/> Nelson</td><td><input type="checkbox"/> Chatham Islands</td></tr> <tr> <td><input type="checkbox"/> Hawke's Bay</td><td><input type="checkbox"/> Marlborough</td><td></td></tr> </table>	<input type="checkbox"/> Northland	<input type="checkbox"/> Taranaki	<input type="checkbox"/> West Coast	<input type="checkbox"/> Auckland	<input type="checkbox"/> Manawatu–Whanganui	<input checked="" type="checkbox"/> Canterbury	<input type="checkbox"/> Waikato	<input type="checkbox"/> Wellington	<input type="checkbox"/> Otago	<input type="checkbox"/> Bay of Plenty	<input type="checkbox"/> Tasman	<input type="checkbox"/> Southland	<input type="checkbox"/> Gisborne	<input type="checkbox"/> Nelson	<input type="checkbox"/> Chatham Islands	<input type="checkbox"/> Hawke's Bay	<input type="checkbox"/> Marlborough	
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<input type="checkbox"/> Hawke's Bay	<input type="checkbox"/> Marlborough																		
<b>How many years are you seeking funding for?</b> <i>Project must be between 1 and 5 years.</i>	5 Years																		
<b>Total project cost</b> <i>What is the cash cost (exclusive of GST) of your project, including Freshwater Improvement Fund funding, external funding and your organisation's contribution?</i>	\$7,354,000.00																		



<i>In-kind contributions are eligible for inclusion in the total project costs.</i>	
<b>Freshwater Improvement Fund contribution</b> <i>How much funding (exclusive of GST) are you requesting from the Freshwater Improvement Fund?</i>	\$6,224,000.00
<b>In-kind contribution</b> <i>Provide an estimate and describe the in-kind contribution to this project.</i> <i>In-kind contributions are eligible for inclusion in the total project costs or budget.</i>	\$1,107,500.00  Partner contributions include resources such as biodiversity advice, pest and weed management, monitoring and evaluation services, education, science and technology, governance, landscape design, hydrology design from partners such as Department of Conservation, Environment Canterbury, Waimakariri District Council, Canterbury University, Mahaanui Kurataiao Limited and Te Rūnanga o Ngāi Tūāhuriri. We will be active in sourcing other funds available.

#### 4. Details of water body

Tell us more about your project by answering the questions below. See the Guide for Applicants 2020 for information on how to complete this question.

<b>Name and location of water body</b> <i>If your project includes more than one water body, include details of each water body.</i>	There are three merging continuous flow confluences, a significant wetland, sub-surface hydrology and extensive ephemeral arteries in a location <i>mana whenua</i> call Tawera the official location is Rampaddock Road, View Hill at the base of the Oxford foothills, North Canterbury
<b>Type of water body</b> <i>Select all that apply.</i>	<input type="checkbox"/> Lake <input checked="" type="checkbox"/> River <input checked="" type="checkbox"/> Wetland <input checked="" type="checkbox"/> Groundwater <input type="checkbox"/> Other (Please specify)
<b>Is your project considered to be in a vulnerable catchment?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

<b>What information or data can you provide supporting your view that it is in a vulnerable catchment?</b> <i>Provide a summary only of the information available. This should be descriptive text rather than raw data.</i>	This project area is within an upper catchment of the already stressed Waimakariri basin.  It has been identified as vulnerable by experts within *the Canterbury Water Management processes (see pages 5-6); *Iwi Management Plans Mahaanui IWI MANAGEMENT PLAN 2013 Issue RH6: Upper catchment Protection of the integrity and natural character of the upper catchment from effects associated with land use conversion, drainage of wetlands and inappropriate water enhancement proposals and subsequent chapters throughout the plan (ISBN: 978-0-473-23667-0); *mana whenua and local community knowledge.  The vulnerable wetland support Canterbury mudfish, a critically endangered species is of national concern. The remnant native forest and wetland
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	<p>combined support other critically endangered species of flora identified by Department of Conservation plant ecologists, with other flora species becoming evident.</p> <p>Soil erosion is evident in large stretches of unarable land tracts.</p>
<p>Please provide the GPS coordinates of your water body</p> <p><i>If your project includes more than one water body, confirm the GPS coordinates of the largest water body only.</i></p>	<p>X 1525090.8301, Y 5206655.3171</p> <p>The locations of the water bodies are attached in Appendix A. There are multiple water bodies within the project area.</p>
<p>What activities have previously, or are currently, affecting the water quality and/or quantity?</p> <p><i>Please also say whether these activities are ongoing.</i></p>	<p>Surrounding and internal extensive agricultural use, pest and weed invasion, global warming, over subscription of water extraction, point and non point pollution sources and a lack of sustainable management agricultural land use impacts.</p>

## 5. Details of the project

Tell us more about your project by answering the questions below. See the Guide for Applicants 2020 for information on how to complete this question.

<p>What is the problem you plan to address with the project? Consider:</p> <ul style="list-style-type: none"> <li>the size or extent of the opportunity or problem</li> <li>the impact the problem has on the environment, the community and/or people's lives</li> <li>the likely future consequences of not addressing the problem now.</li> </ul> <p><i>(maximum 400 words)</i></p>	<p>The size and extent of this problem is acute due to extensive agricultural use, pest and weed invasion, global warming, over subscription of water extraction, point and non point pollution sources and a lack of sustainable management practice which has led to the decline of significant <i>taonga</i> species. The subsequent impacts have vastly reduced values in the wider landscape and this area which holds rare remnants of flora and fauna. If this problem is not addressed there is all probability that rare and endangered species will disappear from this landscape forever which will be a loss and have ongoing national consequences.</p>
<p>What is the solution or action you are proposing to address the problem described? Consider:</p> <ul style="list-style-type: none"> <li>how the solution (or specific actions) being proposed addresses the problem</li> <li>what improvements to freshwater quality and/or quantity are expected to occur</li> <li>the impact the solution will have on the environment,</li> </ul>	<p>The solution lies within a well-planned research and development processes with input from multiple stakeholders. This will ensure we undertake the best actions available to protect, enhance and develop this area. The improvements to freshwater quality and quantity will come from the rehydration of existing water confluences and wetland. Further reestablishment utilising local eco-sourced native flora will be implemented. The impact that this project will have has multiple outcomes for not only community but for nature itself through enhanced biodiversity, opportunities for knowledge sharing, co-construction of theories and tools which will lend themselves to be able to be utilised for public good and further scientific exploration and education.</p>

<p>the community and/or people's lives</p> <ul style="list-style-type: none"> <li>• how you have determined that the solution proposed is the most appropriate for the problem described.</li> </ul> <p>(maximum 400 words)</p>	<p>As of date there has been no strategy within this district with such complex parts to be addressed. This is why we as Trustees have decided to take a lead and engage with significant stakeholders to ensure the success of this project.</p> <p>We intend to adopt and adapt both western and Māori knowledge systems in synergy which we will continue to develop in a hybridised model. We will be undertaking an ecosystems services approach looking at the whole system as a whānau and not within an isolated component view.</p> <p>There will also be an environmental farm management plan developed with a view to producing more sustainable, diverse set of products derived from the agricultural activities which incorporate traditional and ecological knowledges.</p> <p>The engagement with other parties will promote co-learning for other blocks of under-utilised Māori land for their ability to draw upon the learnings which will spill out of this project.</p> <p>It will also stimulate different ways of looking upon the agricultural systems which surround this project area which are having cumulative impacts on the fragile systems which sit within this project area.</p>
<p>If applicable, explain how your project will develop freshwater management capability and/or capacity of iwi/hapū, the community, local government or industry.</p> <p>(maximum 300 words)</p>	<p>The project will develop freshwater management capability and capacity as it directly relates to <i>mana whenua</i> through us being able to exercise <i>mana motuhake</i> (self-determination) in exercising our role as <i>kaitiaki</i> (guardians) in taking control of the protection and enhancement of the water bodies within this project area. The use of cultural health indexing will help to inform the parties involved and further their understanding and learning within this space of the rigour and values of <i>mātauranga</i> Māori.</p> <p>Further capabilities will be derived from training and educating the wider <i>whānau</i> who will eventually be undertaking the management of said <i>taonga</i></p>
<p>If applicable, explain how your project will increase the application of <i>mātauranga</i> Māori in freshwater management.</p> <p>(maximum 300 words)</p>	<p>The application of <i>mātauranga</i> Māori will be increased through multiple layers. These layers will be driven by a <i>kaupapa</i> Māori planning framework and philosophy which are also infused by core Māori values such as <i>tino rangatiratanga</i> (chieftanship), <i>kaitiakitanga</i> (stewardship), <i>tohu tānga</i> (Māori expertise), <i>mātauranga</i> and <i>ritenga</i> (practical application). The inclusion of a well established and tested cultural health index for waterways, developed by a supporting stakeholder will be adopted and adapted to this particular situation. These learnings and processes will also be shared with other <i>whānau</i> lands. The connection and the inherent values between us and the natural environment will be a driving force in terms of reconnection and reinvigoration of our <i>whakapapa</i> ties to the natural environment. These will be developed further documented peer reviewed and shared at increments within the project life cycle and continue to be developed into the future. Further frames and learnings will be installed into the existing knowledge system.</p>
<p>If applicable, explain how your project will establish or enhance collaborative management of freshwater. Consider how the project will let parties establish a collective understanding of desired outcomes and how to achieve them.</p> <p>(maximum 300 words)</p>	<p>Initially through our communications plan we will ensure all stakeholders and partners are aware of our <i>kaupapa</i> Māori framework and the desired outcomes we seek to achieve.</p> <p>There will also be a series of <i>wānanga</i> (workshops) incorporating our partners and stakeholders in a co-design frame.</p> <p>The collaborative management, although led by <i>mana whenua</i>, include existing links and workings with the Department of Conservation on preservation, enhancement and development; Waimakariri District Council; Environment Canterbury; local View Hill School; University of Canterbury;</p>

	Noaia Charitable Trust. Coconstruction, design and information dissemination will be undertaken through a well designed communication strategy.
Does your project include an applied research component? If yes, describe how this will contribute to an improved understanding of the effects of freshwater interventions and their outcomes. <i>(maximum 300 words)</i>	There are existing applied research components which will be drawn upon and cross applied to the learnings from this project. These are the process and application of “Centering indigenous knowledge systems to reimagine conservation translocations”, “When rehoming wildlife”, “Indigenous leadership delivers the best results”, scientific monitoring and application of best practice pest and weed management, a controlled experiments in natural pest management using an ecosystem services approach, water quality monitoring over time, measuring the most appropriate and effective way to re-establish the wetland and surrounding riparian areas and developing a framework based on traditional ecological knowledge of the area from a <i>mana whenua</i> perspective which therefore will inform the <i>rautaki</i> (strategy) and <i>ritenga</i> in to this already fragile system.
How will you ensure the outcomes resulting from your project will endure, once Freshwater Improvement Fund funding has ended? <i>(maximum 300 words)</i>	Post the 5-year project, it is envisaged that through the <i>rautaki</i> the system will become functional, productive and will lend itself to self-sustaining cultural currency from social, health, environmental and economic perspectives. It will also provide ongoing opportunities for learnings to be cross applied to other areas of stressed environments in particular local <i>mana whenua</i> blocks of underutilised <i>whenua</i> (land). The outcomes will also endure due to the intergenerational equity philosophies of the owners through this valued <i>whakatauki</i> - <i>Mō tātou, ā, mō kā uri ā muri ake nei For us and our children after us</i>
How many jobs will your project create directly?	Full-time equivalent (FTE) for each year of the project (overall average or breakdown by year): 10 FTE over the life of the project (additive): 50 FTE beyond the life of the project: 10
How does the project contribute to recovery from COVID-19? Consider things such as, but not limited to, generating new employment and maintaining existing workforce capacity. Provide information about the local context, if relevant. <i>(maximum 300 words)</i>	This is about workforce development, re-deployment and job creation.  We aim to provide immediate opportunities for local stressed business and displaced whanau to come on board with us due to the impacts of COVID-19.  We will provide the necessary site safe environment for them to be able to actively participate alongside us to work toward this achievable vision.  We envisage we will also be able to support organisations such as Noaia Charitable Trust to provide work experience to unemployed rangatahi as part of their programme delivery.  At present we are waiting for the Regional Alliance to be functional. A full list of stressed businesses will be identified in the coming weeks. Then we will be in a position to identify more localised stressed businesses if appropriate to support.

## 6. Implementation of the National Policy Statement for Freshwater Management (council applicants only)

*This question applies only if you are applying on behalf of a regional council, unitary authority or territorial authority. See the Guide for Applicants 2020 for information on how to complete this question.*



How does this project relate to the council's implementation of the National Policy Statement for Freshwater Management? (maximum 200 words)	n/a
How will the project support the transition to managing water quality and quantity within limits? (maximum 200 words)	n/a

## 7. What environmental, social, cultural and economic benefits will occur as a result of this project?

Please explain what benefits will occur as a result of this project being funded. For example, your project may provide specific ecosystem services. Ecosystem services are the benefits people obtain from ecosystems, such as:

- food
- freshwater for drinking, irrigation, cooling
- habitats for species
- aesthetic appreciation
- spiritual experience and/or sense of place.

See the Guide for Applicants 2020 for information on how to complete this question.

The benefits that will be derived by this project will be:

- enhanced *mahinga kai* (traditional food gathering) benefits to the *mana whenua*
- enhancement of areas which support existing critically endangered *taonga* species
- provision of education and training
- provision of employment opportunities
- provision of connection to place and whakapapa ties to the area
- provision of measurable improvement of water quality and quantity, wetland expansion
- reduction in erosion from existing and past agricultural use through plantings and alternative sustainable changes in land use activities
- development of locally distinctive products infused with Māori knowledges such as those utilised in *rongoā* (traditional medicines) and *mara kai* (gardens).
- making direct contributions to the local economy through the provision of employment and development of cultural currency which can be bounced back into the local economy.
- real, measurable gains in the quality and quantity of the water bodies within the project area

- provision of areas planted with a view to assisting with carbon sequestration
- the opportunity to develop other ideas and thinking around self-sustainable farming and food security practices such as onsite aquaponics, hydroponics as well as the utilisation of natural bio-indicators within the hydrological system.

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## 8. Project objectives

Provide between three and six brief statements that describe the objectives of your project. Note that some project outcomes will be achieved over a longer timeframe, however, the objectives described here must be achievable within the length of the funding. Please ensure that:

- objectives are SMART (Specific, Measurable, Achievable and Realistic within the timeframe of the project). Refer to appendix 2 of the Guide for Applicants 2020 for more information on setting SMART objectives
- all objectives are clearly defined and achievable within the length of the funding
- each objective has to have at least two to six outputs.

Objectives <i>Describe the tangible results your project is trying to achieve.</i>	Key outputs <i>Number of kilometres of fencing, number of plants, wetland hectares restored and/or created are measurable values that show progress towards objectives.</i>
Protection and enhancement of significant taonga	9 km of predator proof fencing (Yr 1), redevelopment of no less than 10 ha of wetland (Yrs 1 - 5), two predator trap lines which consist of 50 predator traps (6 months), natural trans-locations of kōwaro (Yrs 3 to 5). Enhancement of numbers of kawai and tuna (Yrs 1 - 5).
Enhancement of sensitive landscapes and waterways	Re-establishment of 15 ha of native plantings (Yr 3 - 5). 150,000 native plants locally sourced and grown on site (Yrs 2 - 3). Strategically placed in-stream works (Yr 1). Riparian buffering at 12m along both sides of a 6km stretch of watercourse (Increments of 3 monthly plantings, ongoing from Yr 1) . Development of a nursery area (Yr 1 -2). Development of security based protection nursery for some of NZ's most rare and endangered plant species from the Waimakariri area (Yrs 2-5). Instillation of bio indicators (Yr 3). Weirs in place (Yr 1-2).
Development of the education component. The development in sharing of knowledges and learnings gained from the overall lifespan of the project	Development of a wānanga which include indoor and outdoor educational facilities (Yrs 1-2). Production of a series of resources which are developed incrementally from the learnings of the project life cycle which will be made available (Ongoing).
Development of the overall sustainable utilisation of the project area	This will be in the form of the initially rautaki/strategy that will be developed in the first 6 months of the project lifecycle which will drive the integrity of the project. Provision of a minimum Ten jobs annually over the lifecycle of the project (First 6 months).
Redevelopment of existing and past intensive agricultural regimes.	Clear and tangible evidence based re-constructing the sustainable land use for productive purposes i.e. more diverse mahinga kai utilisation of the some 109 ha of

	existing available agricultural land (Yrs 3 - 5). Implementation of sustainable farming methods which include consideration of sustainable land use practices (Yr 2).
Protection and enhancement of the series of waterways which run through the project area	Implementation of a cultural index which measures water quantity and quality. Creation of an assessment with a view to implementing natural bio markers to measure water quality, riparian offsets and plantings along the waterways at 6 km of confluence with setbacks of 6 metres. Development of a sustainable irrigation system.

## 9. Risk management

Provide a brief description of the major risks to the project achieving the intended outcomes. Include consideration of potential barriers that may pose a risk to the success of the project. Where possible, give an indication of the likelihood and significance of the risk and any mitigation strategies to be included in the project.

See the Guide for Applicants 2020 for information on how to complete this question.

Potential risk <i>Identify the potential risk to your project (for example, project not completed on time, unpredictable events such as weather, lack of resource commitment, time and cost estimates too optimistic, unexpected budget cuts, stakeholders changing requirements after the project has started, risks to the industry or sector to which the organisation belongs).</i>	Likelihood of risk occurring <i>Low, medium or high.</i>	Consequence on project <i>Minor, moderate or severe.</i>	Impact on project <i>Describe the impact the risk would have on the project if it occurred (for example, misunderstandings, duplication of work, incomplete work).</i>	Strategy to mitigate <i>Describe what will be done to minimise and/or manage the risk (for example, project manager monitors functional roles to ensure enough time is allocated to complete each task and activity, and the project as a whole).</i>
COVID-19 restrictions	medium - high	minor	Affect the workforce	Included in the health and safety plan
Reduction in available funding	medium - high	moderate	Impacts on success of project	Multiple funding sources for discrete project components
Environmental impacts	low - medium	severe	The eradication of the habitat of taonga species	Making protection and enhancement of that habitat our number one priority
District and Regional planning mechanisms	low-medium	moderate	Be fully dependant the ability to gain permissions for certain in stream activities	Involving local council from the start

## SECTION C: Resources and capability

See the Guide for Applicants 2020 for information on how to complete this section.

### 10. Partnership and collaboration

You must be able to show the project will involve the necessary partner organisations to ensure its success. Provide details of organisations you will be partnering with in the delivery of this project. Please outline the nature of each of the partners' involvement and what they will contribute to the successful delivery of the project. See the Guide for Applicants 2020 for information on how to complete this question.

Organisation name	Contact details <i>Name, phone number and email</i>	Details of involvement or collaboration <i>For example, contribution of funding or resources, involvement in decision-making, responsibility for delivering a component of the project.</i>
Department of Conservation	s 9(2)(a)	Funding for predator control, technical expertise and advice around biodiversity and scientific implementation.
Canterbury University		Genomic advice on kōwaro, translocation advice, shared learnings, waterway biomarkers advice and implementation.
Environment Canterbury		Key liason for ECAN.
Environment Canterbury		Biodiversity officer zone delivery North Canterbury
Geospatial Research Institute		Geomapping, technology creation as a decision making tool
Waimakariri District Council		District planning support
Mahaanui Kura Taiao/ Te Ngāi Tūāhuriri		Iwi planning support and rūnanga support



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## 11. Project team

You must be able to show the project will engage personnel with the required technical, project management and financial management skills to successfully deliver the project. Provide details of your proposed project team and confirmation of their availability for the length of the project. Note that it is mandatory to provide the details of your project manager.

See the Guide for Applicants 2020 for information on how to complete this question.

Name	Organisation	Role in project	Confirmed	Phone	Email
s 9(2)(a)	Kakano Limited	Project manager <i>Note that you must provide a copy of the project manager's CV or a job description for the project manager as part of your application.</i>	Yes	s 9(2)(a)	
s 9(2)(a)	Tawera 897(2) Trust	Financial Controller - qualified accountant	Yes		
Charlie Williams	Tawera 897(2) Trust	Kaumātua - Chairperson of trust	Yes		
s 9(2)(a)	Forbes Ecology Limited	Technical Expert - Principal ecologist	Yes		
TBA		Education Advisor			
TBA		Sustainable Farming Advisor			
TBA		Mātauranga Advisor			
TBA		Planning Advisor			

## 12. Governance and management structure

See the Guide for Applicants 2020 for information on how to complete this question.

<p><b>Project governance</b></p> <p><i>Describe the governance structure(s) that will be implemented to ensure monitoring and management of performance and effective decision-making occurs. Include information on members of the governance group and their skills. (maximum 300 words)</i></p>	<p>Tawera 897(2) Trust is an Ahu Whenua Trust constituted and legalised by the Māori Land Court, Department of Justice. Trustees are elected by the 1760 owners on the basis of their skills, mana and knowledge of the whenua. There are clear criteria written into the trust deed which already sets out the process for reporting and monitoring and evaluating what the activities look like on the ground to derive the best benefits to the beneficiaries/owners. These systems are already in place they will just be mirrored in terms of the synergy between monitoring management, performance and effective decision making with in this project.</p>
<p><b>Managing funds</b></p> <p><i>Provide information about how you will manage the project funds if your application is successful. Include information about how you will procure goods and services, approve payments, and monitor and address budget overspend. (maximum 250 words)</i></p>	<p>There will be a three-tiered approach to the management of funds. Management accounting will happen at the project level where each discreet activity will have its own budget, monthly measuring and reporting system. Financial accounts will be compiled by the Trusts external accountants. All funding received in relation to this project will be subject to an additional audit.</p> <p>The governance group will ensure compliance with a best practise procurement policy, the Trust is purchasing Xero accounting software to track accounts payable and accounts receivable. There is already a two-person approval system for payments made by the Trust. To monitor and manage budget overspend, monthly actual to budget variance reports will be produced for Trustee and funder reporting.</p>

## 13. Health and safety

It is important that you have the necessary health and safety policies, resources and expertise to safely undertake and complete the project. You must comply at all times with the requirements and provisions of the Health and Safety at Work Act 2015. You will be asked to submit a health and safety plan for your project if you are invited to proceed to Stage 2. See the Guide for Applicants 2020 for information on how to complete this question.

<p>Does your organisation have a health and safety policy?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If yes, state when this was last reviewed and/or updated.</i></p> <p>This policy is in progress and we are developing an onsite health and safety plan</p>
<p>Has your organisation been issued with any notices under health and safety legislation?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If yes, please provide details.</i></p>
<p>Who will be responsible for the health and safety of the project?</p>	<p>One of the project team will be appointed as health and safety officer.</p>

## 14. Environmental compliance

See the Guide for Applicants 2020 for information on how to complete this question.

<p>Do you require any statutory or non-statutory permissions to complete the project?</p> <p><i>For example, resource consents, planning consents or landowner permissions?</i></p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If yes, which permission(s) are required? Have you applied for these? If so, when is a decision expected (if known)?</i></p> <p>Minor in stream works and land owner permission has been granted.</p>
<p>Has your organisation received any prosecutions under the Resource Management Act 1991 during the past five years?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If yes, please provide details.</i></p>



## SECTION D: Additional information

*See the Guide to Applicants 2020 for information on how to complete this section.*

### 15. Conflicts of interest

*Describe any known conflicts of interest (actual or potential) and steps you will take to manage them. Before completing this section, see the Guide for Applicants 2020.*

Cherie Tirikatene is the interim project manager for the project, if funding is approved, Cherie's first task will be to hire and oversee a permanent project manager/management company. The Trust is in initial discussions with Mahaanui Kurataiao Limited for this role. In addition to being a paid interim project manager, Cherie is also a responsible trustee. To mitigate the conflict Cherie will be excluded from Trust discussions around Project Management budget.

### 16. Is there anything else we need to consider about your application?

*Provide additional information you or your organisation consider is important but that has not been covered in previous questions in this application form. Supporting information must be directly related to the project proposal, the issue you are trying to address or the solution being proposed. (Maximum 400 words and one accompanying document).*

*Refer to the Guide for Applicants 2020 for further information.*

## Declaration

*This declaration must be completed by a person with the organisation's signing authority. See the Guide for Applicants 2020 for further information on how to complete this question.*

**Important:** Please contact the Ministry if you have any queries about the terms and conditions of the deed of funding for the Freshwater Improvement Fund.

As a duly authorised representative of the organisation as noted in section A of this Freshwater Improvement Fund application form:

- I declare that my project meets all of the eligibility criteria for the Freshwater Improvement Fund (see page 5 of this application form).
- I declare that, to the best of my knowledge, the information contained in all sections of this application form, or supplied by us in support of our application, is complete, true and correct.
- I declare that I have the authority to sign this application form and to provide this information.
- I declare that the application is not being made by an organisation that is in receivership or liquidation, or by an undischarged bankrupt.
- I declare that I have provided information about any actual or potential conflicts of interest (in question 15) and I will promptly inform the Ministry for the Environment of any such conflicts if they arise following the submission of this application.
- I understand that information presented to the Minister for the Environment and Ministry for the Environment is subject to disclosure under the Official Information Act 1982, other legislation, court orders, and in response to Parliamentary questions.
- I understand my rights in accordance with the Privacy Act 1993.
- I agree that the Ministry for the Environment can undertake, for the purpose of assessing eligibility and suitability for Freshwater Improvement Fund funding, a background check on the applicant(s), including but not limited to credit checks, criminal record checks and reference checks from other parties, and may liaise with local and national organisations about this application.
- I understand that, if I receive an invitation to proceed to Stage 2 of the funding process, this is not a confirmation of funding and the final decision is subject to a successful completion of Stage 2.

**Name**

Ruiha Caldwell

**Position**

Treasurer Tawera 897(2) Ahu Whenua Trust

**Signature**

*By typing your name in the space provided, you are electronically signing this application form.*

s 9(2)(a)

Date 20 Sept 2020

## Checklist

Use the following checklist to confirm you have provided all the required information in your application.

**Do not include any attachments that the Ministry has not specifically requested. These will not be given to the assessment panel.**

- ☒ All sections of this Application Form (Part 1) have been completed (using 'N/A' or 'none' if required).
- ☒ All sections of this Application Form (Part 2) have been completed (using a zero if required).
- ☒ All \$ figures provided in this Application Form (Part 1) and (Part 2) add up and are consistent throughout the application.
- ☒ Declaration on the Application Form (Part 1) has been electronically signed and dated.
- ☒ A copy of the CV for the project manager listed in question 11 is attached (if confirmed).
- ☒ Letters are attached confirming co-funding for your project from each organisation listed as 'external funding sources' in Application Form (Part 2).
- ☒ **Optional – one additional document** in support of your application. This must be directly related to the project proposal, the issue you are trying to address or the solution being proposed.
- ☒ Application form, project budget and any supporting information will be submitted as **one email only**. (Documents submitted as multiple emails will **not** be accepted.)

# FRESHWATER IMPROVEMENT FUND – APPLICATION FORM

## PART 2: ESTIMATED PROJECT BUDGET

Please read the Freshwater Improvement Fund Guide for Applicants 2020 before completing this form.

APPLICANT NAME	Tawera 897(2) Ahuwhenua Trust
PROJECT TITLE	The Tawera Project

### PROJECT INCOME (A)

List all sources of project income, including the amount you are requesting from the Freshwater Improvement Fund and all external funding sources, including the cash contribution from your organisation. See the *Guide for Applicants 2020* for help on completing this section. All figures should be exclusive of GST.

FRESHWATER IMPROVEMENT FUND	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Amount requested from the fund for each year of the project (exclusive of GST). This must equal the amount stated in question 3 in the Application Form (Part 1).	\$1,147,900.00	\$3,229,900.00	\$637,400.00	\$554,400.00	\$654,400.00	\$6,224,000.00
<b>OTHER CASH INCOME (excluding in-kind contributions)</b>						
For example, from your organisation, councils, industry, philanthropists or other funding sources. (You will be asked to provide letters from these co-funders that confirm the amount of their support.)	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Predator Traps (3 lines) - Department of Conservation	\$7,500.00	\$7,500.00	\$0.00	\$0.00	\$7,500.00	\$22,500.00
						\$0.00
						\$0.00
						\$0.00
						\$0.00
						\$0.00
						\$0.00
						\$0.00
<b>Total cash income from other sources for each project year (exclusive of GST)</b>	<b>\$7,500.00</b>	<b>\$7,500.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$7,500.00</b>	<b>\$22,500.00</b>
<b>IN-KIND CONTRIBUTIONS</b>						
For example, from your organisation, councils, industry, philanthropists or other funding sources. (You will be asked to provide letters from these co-funders that confirm the amount of their in-kind support.)	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Management Accounting Services - Whenua Māori Services Ltd	\$30,000.00	\$30,000.00	\$30,000.00	\$30,000.00	\$30,000.00	\$150,000.00
Predator trap installation, education, support on maintenance, scientific modelling & reporting	\$4,000.00	\$4,000.00	\$4,000.00	\$4,000.00	\$4,000.00	\$20,000.00
Walkway Development - Environment Canterbury	\$0.00	\$100,000.00	\$100,000.00	\$100,000.00	\$0.00	\$300,000.00
Science Consultancy - Depart of Conservation, Ecan, WDC, Uni of Canterbury	\$120,000.00	\$120,000.00	\$120,000.00	\$120,000.00	\$120,000.00	\$600,000.00
Tauria labour contribution - Noaia Charitable Trust	\$7,500.00	\$7,500.00	\$7,500.00	\$7,500.00	\$7,500.00	\$37,500.00
						\$0.00
						\$0.00
						\$0.00
<b>Total in-kind income from other sources for each project year (exclusive of GST)</b>	<b>\$161,500.00</b>	<b>\$261,500.00</b>	<b>\$261,500.00</b>	<b>\$261,500.00</b>	<b>\$161,500.00</b>	<b>\$1,107,500.00</b>
<b>TOTAL PROJECT INCOME</b>	<b>\$1,316,900.00</b>	<b>\$3,498,900.00</b>	<b>\$898,900.00</b>	<b>\$815,900.00</b>	<b>\$823,400.00</b>	<b>\$7,354,000.00</b>

If any of the funding for your project is not yet confirmed, please provide a summary here of how much is 'pending' and when you expect this to be secured. Only include funding that you have applied for and that is either approved or still pending (ie, not declined). Note that, if you are invited to Stage 2 of the funding process, you will have to provide evidence that co-funding has been secured.

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## PROJECT EXPENDITURE (B)

Provide a breakdown of all the estimated project-related costs (expenditure), to the best of your knowledge, for each year of your project (as applicable). See the *Guide for Applicants 2020* for help on completing this section. All costs must be exclusive of GST.

<b>Personnel – estimated breakdown of cash costs</b> Wages, salaries, recruitment, training and so on.	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Total estimated cash costs for personnel for each project year (exclusive of GST)	\$540,000.00	\$540,000.00	\$540,000.00	\$540,000.00	\$540,000.00	\$2,700,000.00
<b>Administration – estimated breakdown of cash costs</b> Stationery, insurance, postage, phone calls, courier and so on.	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Total estimated cash costs for administration for each project year (exclusive of GST)	\$1,200.00	\$1,200.00	\$1,200.00	\$1,200.00	\$1,200.00	\$6,000.00
<b>Consultants and contractors – estimated breakdown of cash costs</b> Environmental consultancies, Crown research institutes and so on.	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Total estimated cash costs for consultants and contractors for each project year (exclusive of GST)	\$160,000.00	\$182,000.00	\$232,000.00	\$232,000.00	\$232,000.00	\$1,038,000.00
<b>Venue and equipment – estimated breakdown of cash costs</b> Venue (hire or rent), equipment (rental or leasing) and so on.	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Total estimated cash costs for venue and equipment for each project year (exclusive of GST)	\$40,000.00	\$15,000.00	\$15,000.00	\$15,000.00	\$15,000.00	\$100,000.00
<b>Travel and accommodation – estimated breakdown of cash costs</b> Only domestic travel and accommodation expenses incurred solely in relation to the project could be eligible for funding.	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Total estimated cash costs for travel and accommodation for each project year (exclusive of GST)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Promotion and dissemination of information – estimated breakdown of cash costs</b> Publication of brochures, advertising costs, hui, workshops etc.	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Total estimated cash costs for promotion and dissemination of information for each project year (exclusive of GST)	\$12,700.00	\$12,700.00	\$12,700.00	\$12,700.00	\$12,700.00	\$63,500.00



Financial, legal and information technology (IT) service expenses – estimated breakdown	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Financial, legal and IT service expenses incurred solely in relation to the project.						
Total estimated cash costs for financial, legal and IT expenses for each project year (exclusive of GST)	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$25,000.00

Health and safety equipment and training – estimated breakdown of cash costs	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Include personal protective equipment, other health and safety equipment, measures and training (practical and/or planning or theory based) for relevant staff.						
Total estimated cash costs for health and safety equipment and training for each project year (exclusive of GST)	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$50,000.00

Purchase of capital assets and other capital costs – estimated breakdown of cash costs	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
Includes the cost of bringing the new asset to working order if necessary.						
Total estimated cash costs for purchase of capital assets and other capital costs for each project year (exclusive of GST)	\$548,000.00	\$2,733,000.00	\$83,000.00	\$0.00	\$7,500.00	\$3,371,500.00

Other miscellaneous costs – estimated breakdown of cash costs	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
These must be solely related to the delivery of the project.						
Total estimated other miscellaneous cash costs for each project year (exclusive of GST)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>TOTAL PROJECT EXPENDITURE</b>	<b>\$1,316,900.00</b>	<b>\$3,498,900.00</b>	<b>\$898,900.00</b>	<b>\$815,900.00</b>	<b>\$823,400.00</b>	<b>\$7,354,000.00</b>

### BUDGET SUMMARY (C)

This section is automatically populated using the information provided in the Project Income (A) and Project Expenditure (B) sections above.

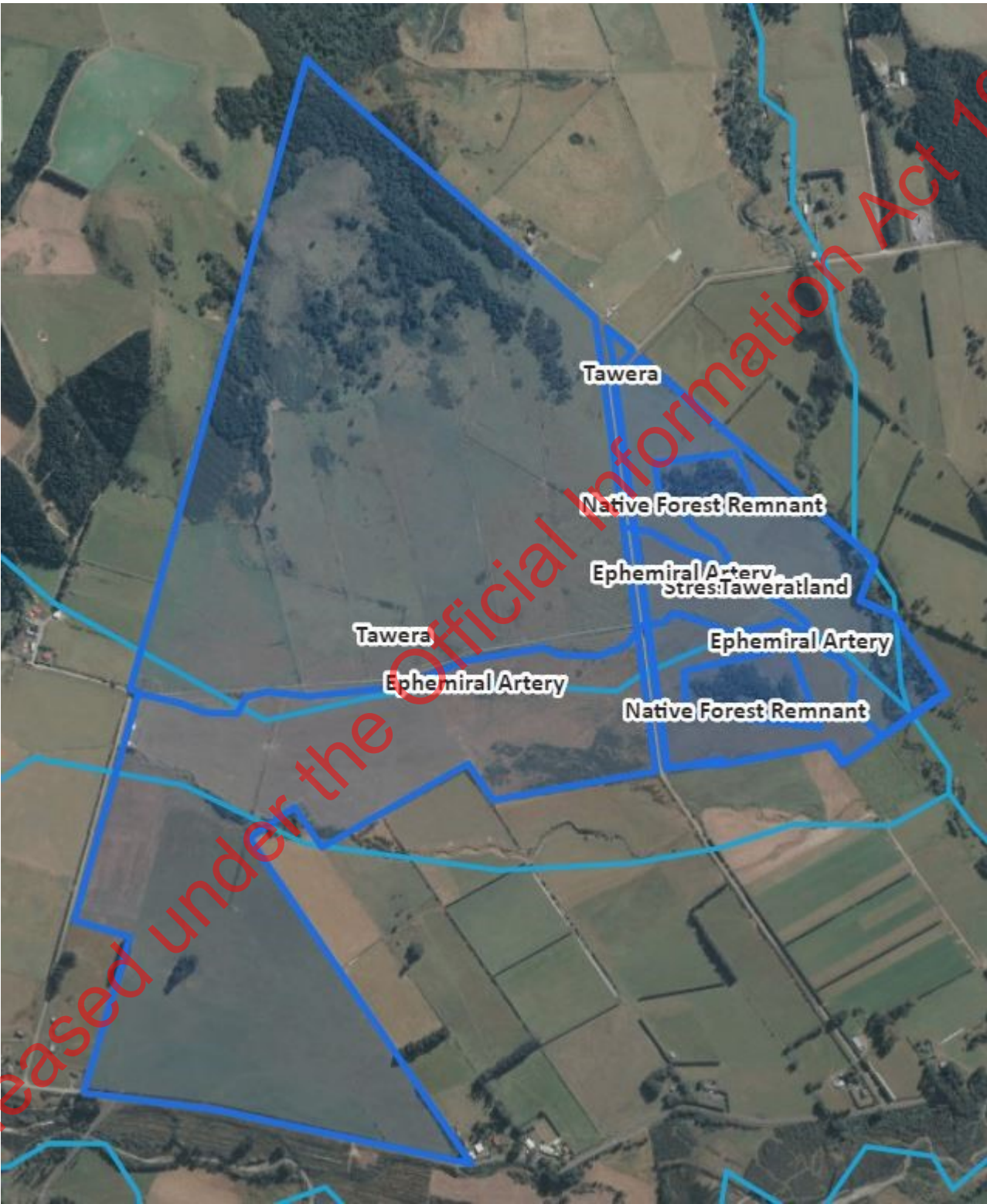
	Year 1	Year 2 (if applicable)	Year 3 (if applicable)	Year 4 (if applicable)	Year 5 (if applicable)	TOTAL
<b>PROJECT INCOME (A)</b>						
Amount requested from the Freshwater Improvement Fund	\$1,147,900.00	\$3,229,900.00	\$637,400.00	\$554,400.00	\$654,400.00	\$6,224,000.00
Amount of cash funding from other sources	\$7,500.00	\$7,500.00	\$0.00	\$0.00	\$7,500.00	\$22,500.00
Amount of in-kind funding	\$161,500.00	\$261,500.00	\$261,500.00	\$261,500.00	\$161,500.00	\$1,107,500.00
Total income from ALL sources for each project year (excluding GST)	\$1,316,900.00	\$3,498,900.00	\$898,900.00	\$815,900.00	\$823,400.00	\$7,354,000.00
<b>PROJECT EXPENDITURE (B)</b>						
Total project expenditure for each project year (excluding GST)	\$1,316,900.00	\$3,498,900.00	\$898,900.00	\$815,900.00	\$823,400.00	\$7,354,000.00
<b>BALANCE OF FUNDS</b>						
Income minus expenditure (A) – (B)*	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

\* The balance of funds should be zero. If the total balance of funds is showing as a negative amount in red, then the total estimated cost of the project in (B) exceeds the proposed project income in (A).

Percentage contribution from the Freshwater Improvement Fund.

84.63%

APPENDIX A - MAP





**Paolo Alejandro**

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**From:** Freshwater Improvement Fund <fif@mfe.govt.nz>  
**Sent:** Wednesday, 25 November 2020 12:33 pm  
**To:** Ruiha Caldwell  
**Subject:** RE: Tawera 897(2) Ahu Whenua Trust

Kia ora Ruiha,

Thank you for your email regarding the Freshwater Improvement Fund.

We're just waiting on final sign off before we can start to let people know the results of our assessment. Unfortunately, we're not sure how long this could take but we hope to have more information within the next few weeks.

I appreciate your patience in the meantime.

Ngā mihi,

Eva Weatherall PhD ([she/her](#)) – Analyst, Freshwater Investments  
Ministry for the Environment – Manatū Mō Te Taiao  
Mobile: 022 175 5840 Email: [eva.weatherall@mfe.govt.nz](mailto:eva.weatherall@mfe.govt.nz) Website: [www.mfe.govt.nz](http://www.mfe.govt.nz)  
23 Kate Sheppard Place, Thorndon, Wellington 6143



Ministry for the  
**Environment**  
Manatū Mō Te Taiao



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Whakawhānui i te ao māori o Aotearoa ki te wāhi mō te ora

**From:** Ruiha Caldwell s 9(2)(a)  
**Sent:** Wednesday, 25 November 2020 11:10 am  
**To:** Freshwater Improvement Fund <fif@mfe.govt.nz>  
**Subject:** Tawera 897(2) Ahu Whenua Trust

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**MFE CYBER SECURITY WARNING**

This email originated from outside our organisation. Please take extra care when clicking on any links or opening any attachments.

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Kia ora MFE

I am following up our application on behalf of Tawera 897(2) Ahu Whenua Trust  
We have our AGM this weekend and the Trustees want to report back to our owners on the progress of the application to the Fresh Water Fund.

Can I please have an indication on the timeframe of this process? I appreciate that the original intention was to report back mid November, however if this timeframe has been delayed, I would like to update our owners on a new timeframe.

I appreciate any advice re this

Ngā mihi

Ruiha

Trustee Treasurer

Released under the Official Information Act 1982



**Paolo Alejandro**

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**From:** Freshwater Improvement Fund Application <fifapplication@mfe.govt.nz>  
**Sent:** Thursday, 3 December 2020 1:11 pm  
**To:** s 9(2)(a)  
**Subject:** Outcome of Freshwater Improvement Fund Application  
**Attachments:** FIF-2066 Application Letter.pdf

Kia ora Ruiha,

Many thanks for your patience awaiting the results of your application to the Freshwater Improvement Fund.

Please see the attached letter regarding the outcome of your application.

Ngā mihi,

**Wes Patrick, Manager Freshwater Investments**

Ministry for the Environment – Manatū Mō Te Taiao

Phone: 022 1755840 Website: [www.mfe.govt.nz](http://www.mfe.govt.nz)

Environment House, 23 Kate Sheppard Place, Thorndon, PO Box 10362, Wellington 6143



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*Aotearoa - he whenua muna kua mā te tangata*



**FIF Reference: FIF-2066**

2 December 2020

Ruiha Caldwell  
Tawera 897(2) Ahu Whenua Trust  
s 9(2)(a)

Tēnā koe Ruiha Caldwell,

Ngā mihi | ngā tini āhuatanga o te wā ki a koe,

**Freshwater Improvement Fund application: FIF-2066**

First we thank you for submitting your application entitled "**The Tawera Project**", which was received in the September funding round of the Freshwater Improvement Fund (FIF). We do appreciate the significant amount of work that is involved in preparing an application.

It is with regret we advise that your request for funding has been unsuccessful. The September funding round for the FIF was highly competitive with a total of 72 eligible applications received, requesting up to \$317 million in funding.

An independent panel assessed all applications and provided recommendations to the Sustainable Land Use Ministers (Hon David Parker, Hon Nanaia Mahuta, Hon Damien O'Connor, Hon Stuart Nash, Hon Kiri Allan and Hon James Shaw). All applications were assessed against the full range of advertised FIF assessment criteria.

The applications the panel considered successful were those that best addressed the management of freshwater bodies considered vulnerable, demonstrated improvement in the values and benefits derived from the freshwater body and contributed to the recovery from COVID-19 through generating employment. These applications were typically aligned with the Ministry for the Environment's strategic outcomes and investment signals applicable to this round. The projects also demonstrated their ability to leverage other funding, their support from partner organisations and capability to deliver a successful project.

*Specific feedback comments of your application*

The application has been declined as costs were not well defined and demonstration of collaboration could be improved.

As with all Freshwater Improvement Fund applications the Minister makes the final decisions on which projects proceed to Stage II of the application process.



If you would like to contact the Ministry for the Environment to discuss either the feedback provided, a future application, or if you have any other questions about the Freshwater Improvement Fund, please contact us by email [fif@mfe.govt.nz](mailto:fif@mfe.govt.nz) or phone 0800 499 700.

Nāku noa, nā

s 9(2)(a)

A large rectangular grey box redacting the content of the letter.

Wes Patrick  
Manager, Freshwater Investments

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New Project No	Applicant	Project Name	Region	Project Purpose	Total Cost of Project	Total FIF Funding Requested	Original / uncensored Panel comments	Panel recommendation	Panel recommendation summary statement to be included in upcoming briefing	Summary Scores	Original comments	Updated comments 17 Nov	Extra info around funding sources for unsuccessful letter
FIF-2066	Tawera 897(2) Ahu Whenua Trust	The Tawera Project	Canterbury	The purpose of the project is to protect, enhance and develop existing underutilised Māori land which is currently within a defunct and broken agricultural regime. We aim to enhance the multiple values and considerable taonga which sit inside the whenua. These include remnant native forest, wetland, critically endangered flora and fauna species including mudfish (Kōwaro), long finned eel (Tuna), freshwater crayfish (Kewai), rohutu, climbing broom and coprosma. The core problem is that within this landscape there are limited current mechanisms for protection and enhancement of such species	\$ 7,354,000	\$ 6,224,000	s 9(2)(g)(ii)		The panel recommends the project be declined as costs were not well defined and collaboration was weak.	s 9(2)(g)(ii)			No response outside of specific feedback on the application

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